

# CHAPTER 6

## GRAPHS

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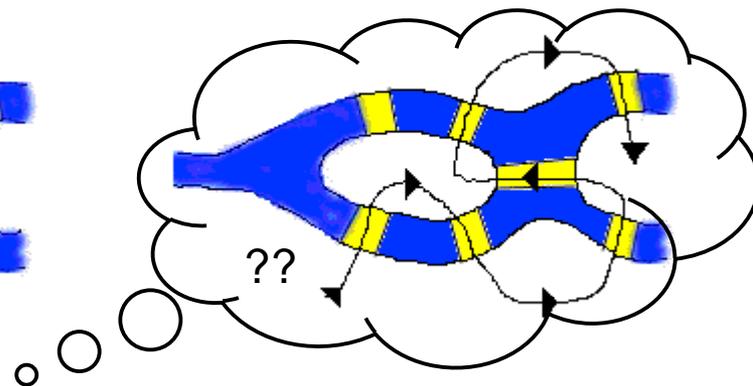
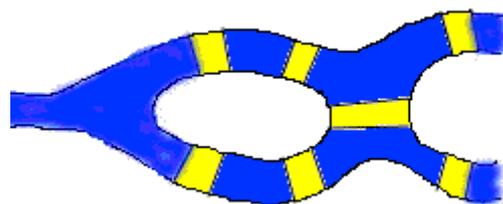
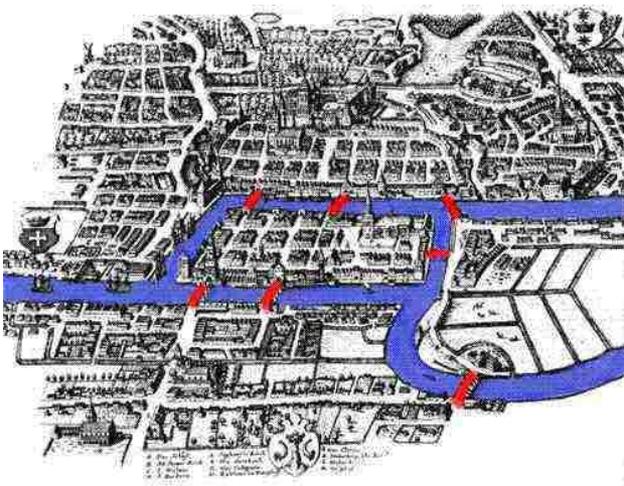
# Graphs

- **Contents**
  - Graphs
  - Graph traversals
  - Connected and biconnected components
  - Minimum-cost spanning trees
  - Shortest paths and transitive closure
  - Topological sort
  - Optional: bipartite graphs
- **Reading**
  - Chapter 6

# The Königsberg Bridge Problem (1/2)

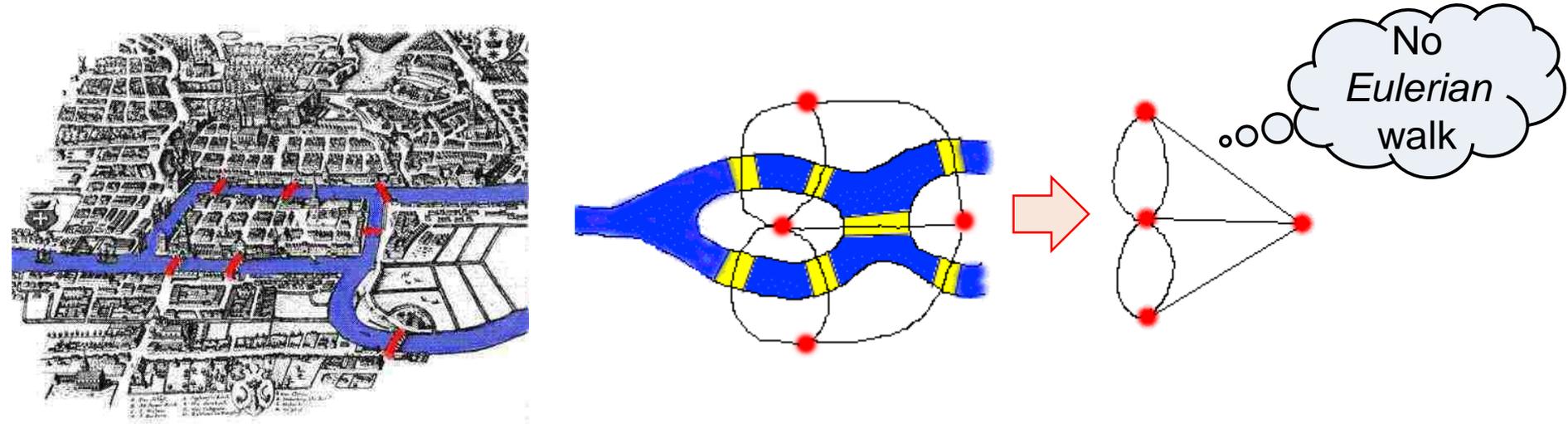
- Is it possible to walk across all the bridges exactly once and return to the starting land area?
  - All who tried ended up in failure, including Euler, but he proved
  - Is cited as the first paper in both topology and graph theory
    - L. Euler, Solutio problematis ad geometriam situs pertinentis, *Commentarii Academiae Scientiarum Imperialis Petropolitanae*, Vol. 8, pp. 128—140, 1736 (published 1741).

pronounced "oiler"



# The Königsberg Bridge Problem (2/2)

- Euler proved by **abstraction!**
  - ▣ Eliminate all features except land areas and bridges
  - ▣ Replace each land area with a dot (**vertex/node**), and each bridge with a line (**edge/link**)  $\Rightarrow$  Obtain a **graph**
  - ▣ **Problem:** Can you draw this picture without retracing any line and without picking your pencil up off the paper?
  - ▣ **Observation:** Anyone has to enter a land area via one bridge and leave by another  $\Rightarrow$  Each land area needs an even # of bridges

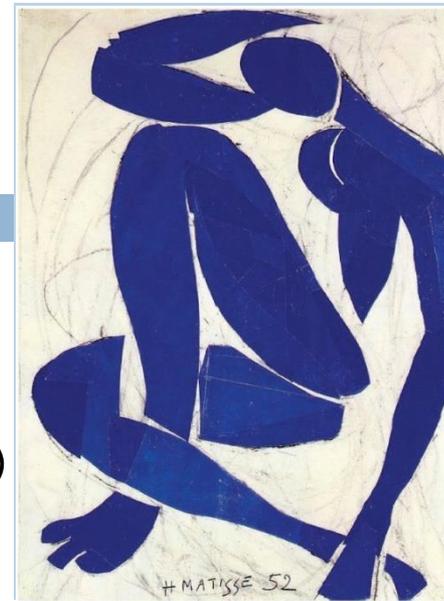


# Abstraction

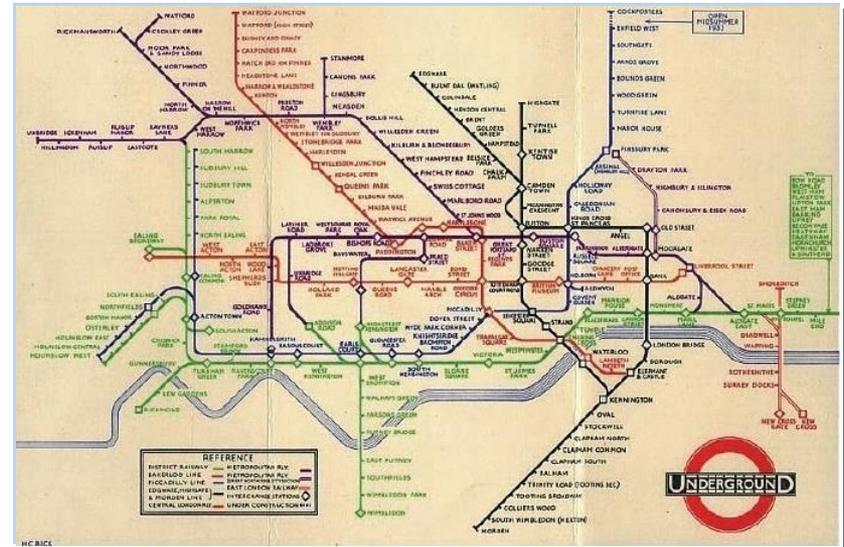
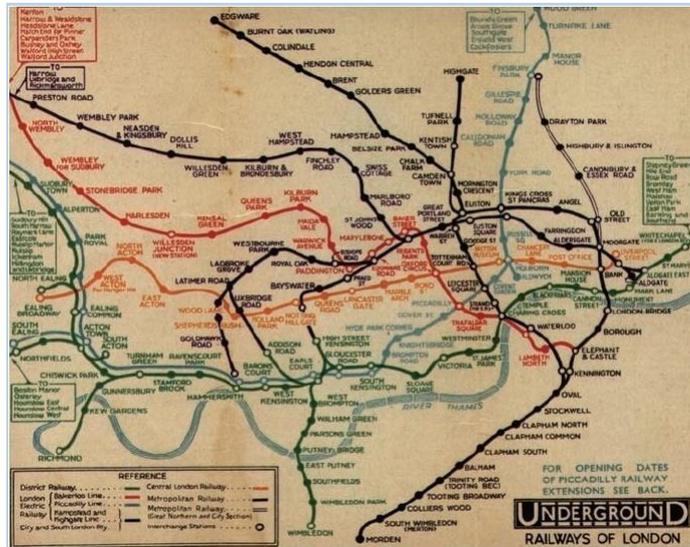
□ All about **abstraction!**

- ▣ Vertices: objects
- ▣ Edges: relationship!
- ▣ Examples:

See through the essence



H. Matisse, "Naked Blue IV," 1952; paper cutouts.



The London Underground Map (a) the 1928 map (b) the 1933 map by H. Beck. Graphs

# Graphs

□ **Definition:** A **graph**  $G = (V, E)$  consists two sets  $V$  and  $E$

□  $V(G)$ : a finite nonempty set of **vertices**

□  $E(G)$ : a set of **edges** (pairs of vertices)

□ In an **undirected graph**:

□ Edges are undirected, i.e.,  $(u, v) == (v, u)$

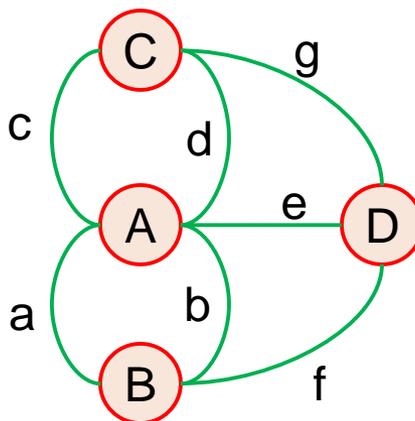
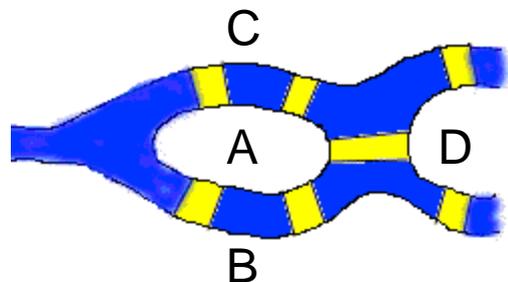


□ In a **directed graph**:

□ Edges are directed, i.e.,  $\langle u, v \rangle \neq \langle v, u \rangle$



□ **Example:** the Königsberg bridge problem



$V(G) = \{A, B, C, D\}$

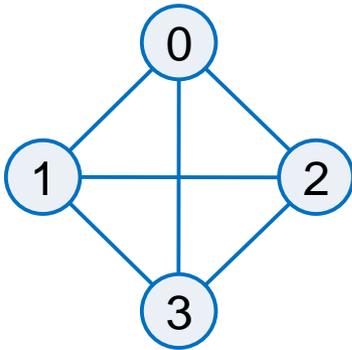
$E(G) = \{a, b, c, d, e, f, g\}$

$a: (A, B); b: (B, A);$

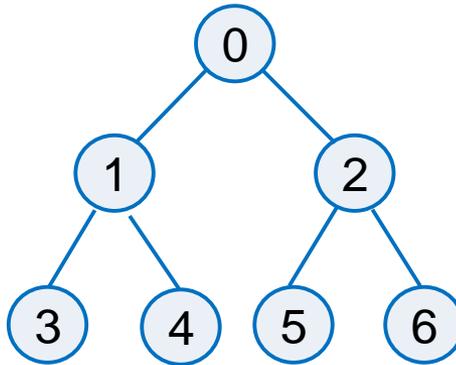
$c: (A, C); d: (C, A);$

$e: (A, D); f: (B, D); g: (C, D)$

# Sample Graphs

 **$G_1$ : undirected**

$V(G_1) = \{0, 1, 2, 3\}$   
 $E(G_1) = \{(0,1), (0,2), (0,3), (1,2), (1,3), (2,3)\}$

 **$G_2$ : undirected**

$V(G_2) = \{0, 1, 2, 3, 4, 5, 6\}$   
 $E(G_2) = \{(0,1), (0,2), (1,3), (1,4), (2,5), (2,6)\}$

 **$G_3$ : directed**

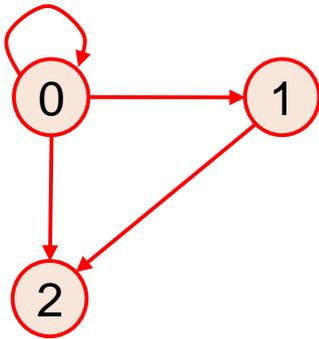
$V(G_3) = \{0, 1, 2\}$   
 $E(G_3) = \{\langle 0,1 \rangle, \langle 1,0 \rangle, \langle 1,2 \rangle\}$

Note:  $G_2$  is also a tree; tree is a special case of graph

# Beyond Simple Graphs (1/2)

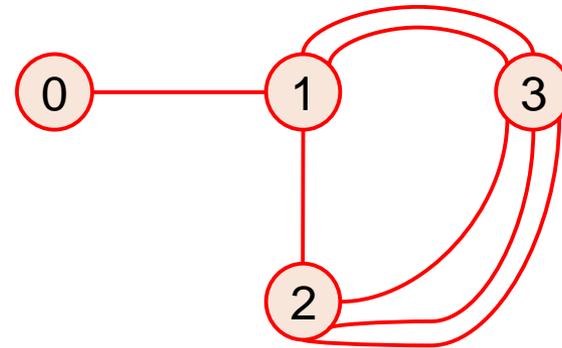
- Do not consider the following two cases in this book

## Self edges



Self edge or self loop:  $(v, v)$  or  $\langle v, v \rangle$

## Parallel edges

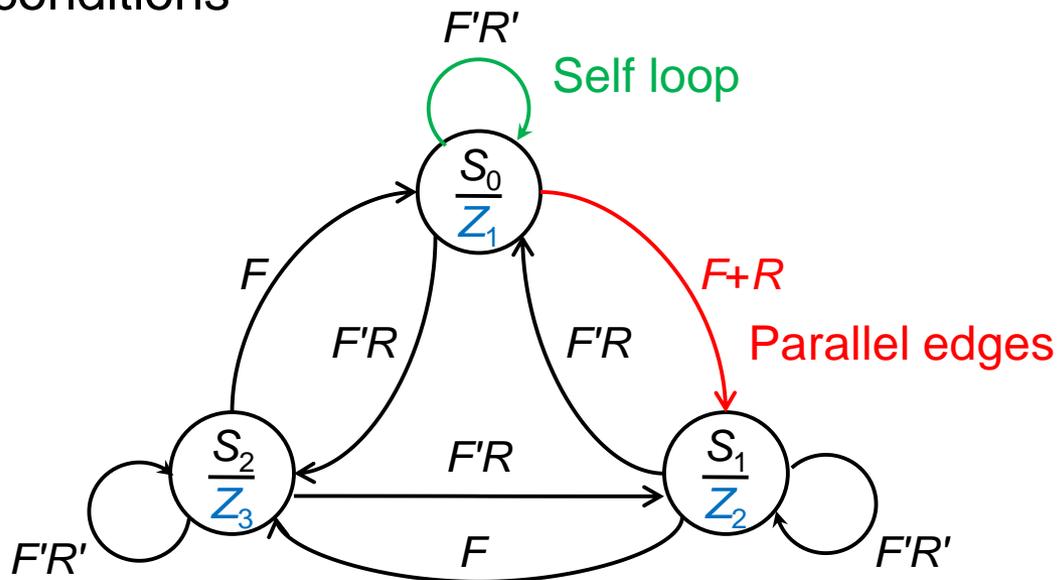


A **multigraph** has multiple occurrences of the same edge

- You met them before...
  - State transition graphs in logic design

# Beyond Simple Graphs (2/2)

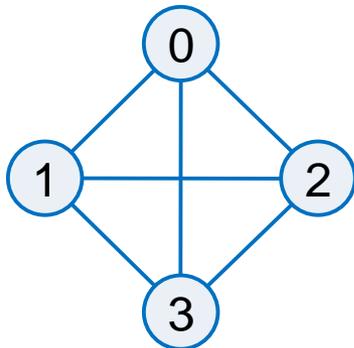
- **Example: state transition graph**
  - ▣ Vertex (object): state
  - ▣ Edge (relationship): state transition
  - ▣ May have self loops and/or parallel edges
    - Self loop: next state = current state
    - Parallel edges: the same current and next states for several conditions



# Complete Graphs

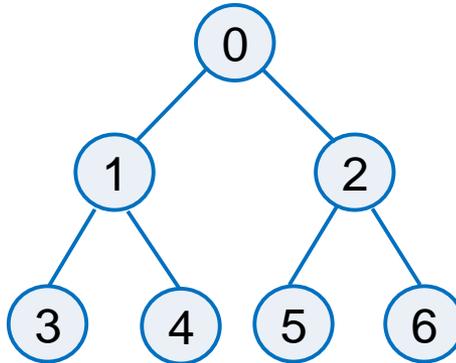
- A graph is **complete** if it has the max # of edges
  - i.e., any pair of vertices have an edge
  - A.k.a. **clique**
  - For an  $n$ -vertex graph:
    - **Undirected**:  $n(n-1)/2$  edges
    - **Directed**:  $n(n-1)$  edges

$G_1$ : undirected



complete  
 $n(n-1)/2=6$

$G_2$ : undirected



incomplete  
only  $n-1=6$  in a tree

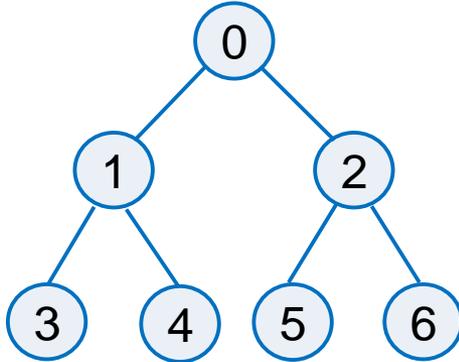
$G_3$ : directed



incomplete

# Adjacency and Incidence

## Undirected



- If  $(u, v) \in E(G)$ 
  - $u$  and  $v$  are adjacent
  - $(u, v)$  is incident on  $u$  and  $v$
  - 0, 3, 4 are adjacent to 1
  - $(0,2), (2,5), (2,6)$  are incident on 2

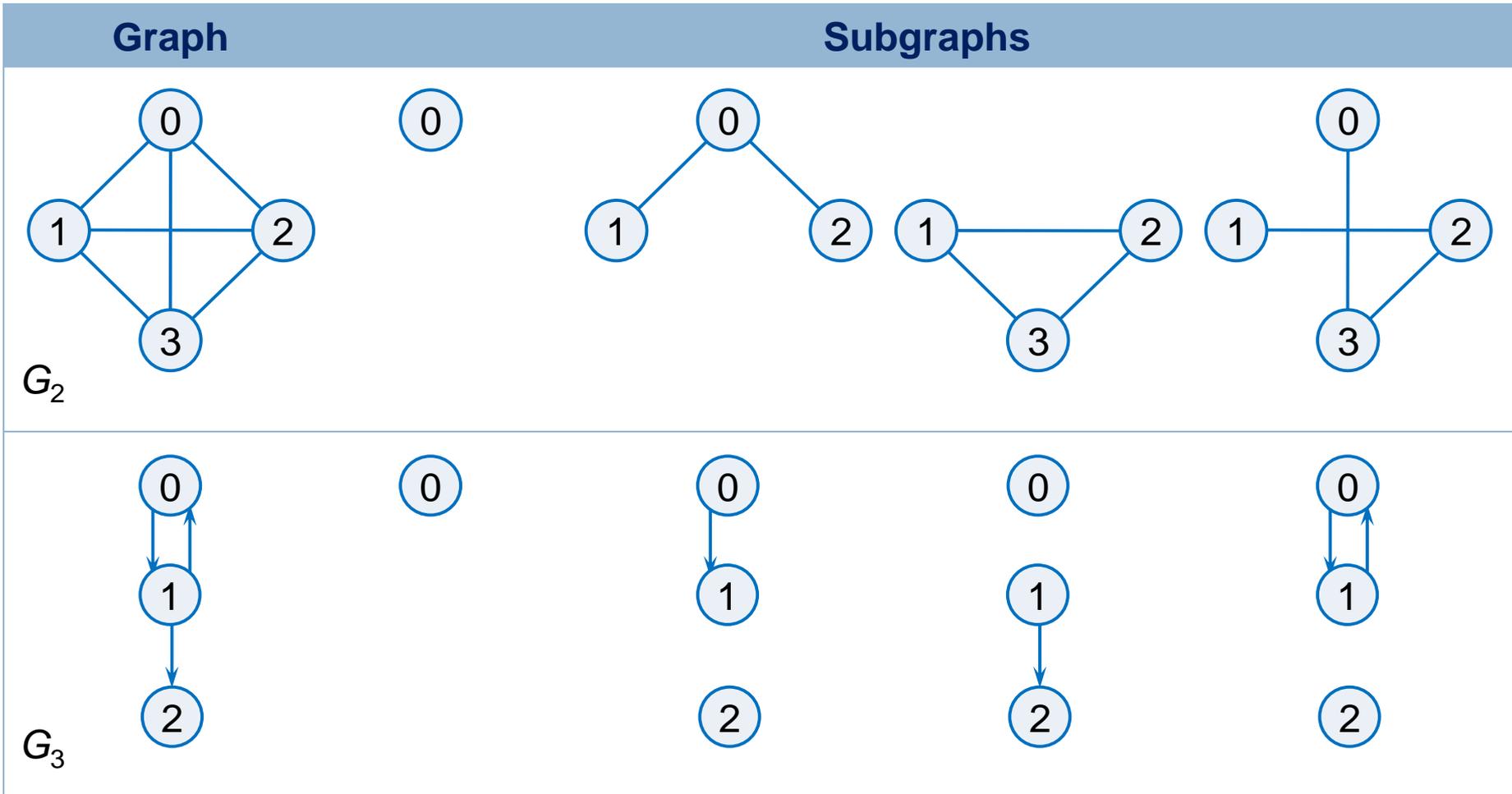
## Directed



- If  $\langle u, v \rangle \in E(G)$ 
  - $u$  is adjacent to  $v$
  - $v$  is adjacent from  $u$
  - $\langle u, v \rangle$  is incident to  $u$  and  $v$
  - $\langle 0,1 \rangle, \langle 1,0 \rangle, \langle 1,2 \rangle$  are incident to 1

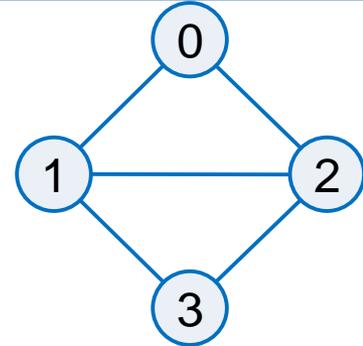
# Subgraphs

□ A **subgraph** of  $G$  is a graph  $G'$  s.t.  $V(G') \subseteq V(G)$  and  $E(G') \subseteq E(G)$



# Paths and Cycles

- A **path** from  $u$  to  $v$  in  $G$  is
  - a sequence of vertices  $u, i_1, i_2, \dots, i_k, v$  s.t.
    - **Undirected**:  $(u, i_1), (i_1, i_2), \dots, (i_k, v)$  in  $E(G)$
    - **Directed**:  $\langle u, i_1 \rangle, \langle i_1, i_2 \rangle, \dots, \langle i_k, v \rangle$  in  $E(G)$
- The **length** of a path is the # of edges on it
- A **simple path** is a path where all vertices except **possibly** the first and last are **distinct**
- A **cycle** is a simple path where the first and last vertices are the same
  - An **acyclic** graph has no cycles, e.g., a tree
- **Example**:
  - $0, 1, 3, 2$  and  $0, 1, 2, 3$  are paths of length 3
  - $0, 3, 2, 1$  is not a path ( $(0, 3)$  is not in  $E(G)$ )
  - $0, 1, 3, 1$  is not simple
  - $0, 1, 2, 0$  is a cycle

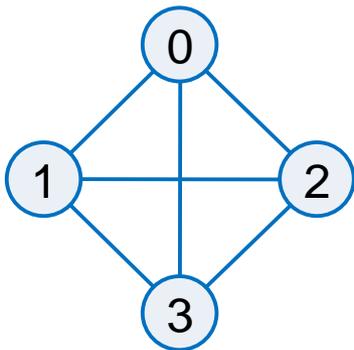


# Connected Graphs

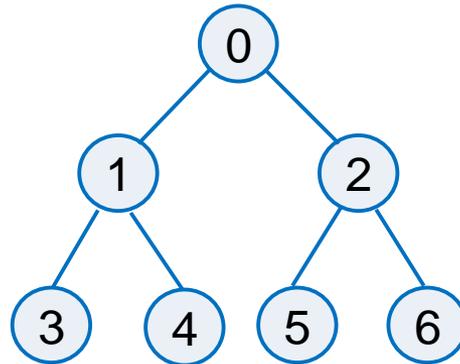
How many edges is required to form a connected graph?

- In an **undirected** graph  $G$ , vertices  $u$  and  $v$  are **connected** iff
  - There is a path from  $u$  to  $v$  (or a path from  $v$  to  $u$ )
- An **undirected** graph  $G$  is **connected** iff
  - $\forall u, v \in V(G), u \neq v$ , there exists a path from  $u$  to  $v$
- A **connected component**  $H$  of an **undirected** graph  $G$  is a **maximal connected subgraphs**
  - **Maximal**: No other connected subgraph in  $G$  properly contains  $H$

$G_1$ : connected

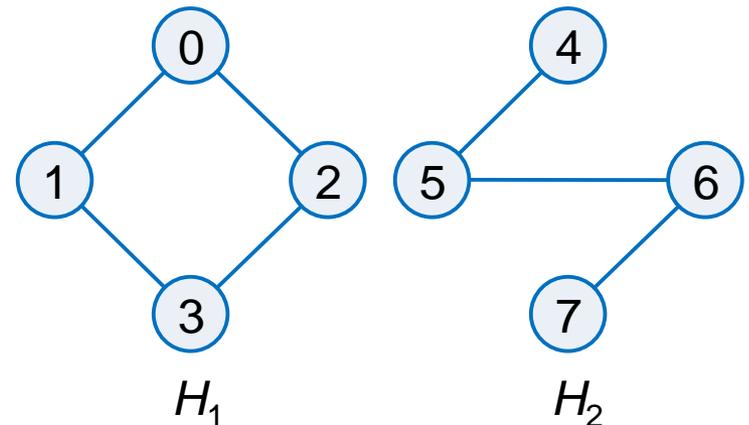


$G_2$ : connected



A tree is connected, while a forest is not.

$G_4$ : two connected components



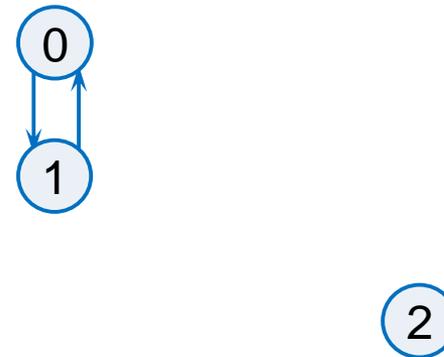
# Strongly Connected Components

- A **directed** graph **G** is **strongly connected** iff
  - $\forall u, v \in V(G), u \neq v$ , there exists a path from  $u$  to  $v$  and a path from  $v$  to  $u$  (**bi-directional**)
- A **strongly connected component** of a **directed** graph is a **maximal** strongly connected subgraphs

$G_3$  is not strongly connected

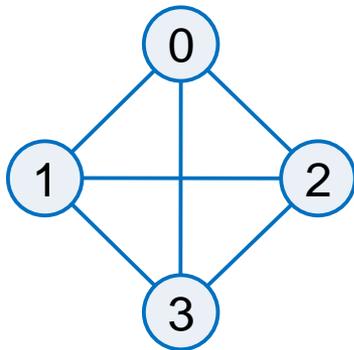


two strongly connected components



# Degree

- The **degree** of a vertex is the # of edges incident to it
  - For a **directed** graph (**digraph**):
    - in-degree: # of in-coming edges
    - out-degree: # of out-going edges
    - degree = in-degree + out-degree
  - If  $G$  has  $n$  vertices and  $e$  edges,  $e = \frac{1}{2} \sum_{i=1}^n d_i$  ( $d_i$  = degree of vertex  $i$ )
  - **Example:**



$$\text{degree}(0) = 3$$



$$\begin{aligned} \text{degree}(1) &= 3 \\ \text{in-degree}(1) &= 1 \\ \text{out-degree}(1) &= 2 \end{aligned}$$

**Adjacency matrix**

**Adjacency list**

**Adjacency multilist**

# ADT *Graph*

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H.-R. Jiang

- **Definition:** A **graph**  $G = (V, E)$  consists two sets  $V$  and  $E$ 
  - $V(G)$ : a finite nonempty set of **vertices**
  - $E(G)$ : a set of **edges** (pairs of vertices, **undirected/directed**)

```
class Graph {
```

```
// objects: a nonempty set of vertices and
```

```
// a set of undirected/directed edges where each edge is a pair of vertices
```

```
public:
```

```
    virtual ~Graph(); // virtual dtor
```

```
    bool IsEmpty() const; {return n == 0};
```

```
    int NumberOfVertices() const {return n};
```

```
    int NumberOfEdges() const {return e};
```

```
    virtual int Degree(int u) const = 0;
```

```
    virtual bool ExistsEdge(int u, int v) const = 0;
```

```
    virtual void InsertVertex(int v) = 0;
```

```
    virtual void InsertEdge(int u, int v) = 0;
```

```
    virtual void DeleteVertex(int v) = 0;
```

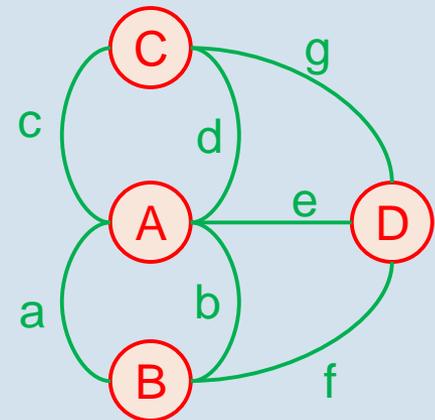
```
    virtual void DeleteEdge(int u, int v) = 0;
```

```
private:
```

```
    int n; // # of vertices
```

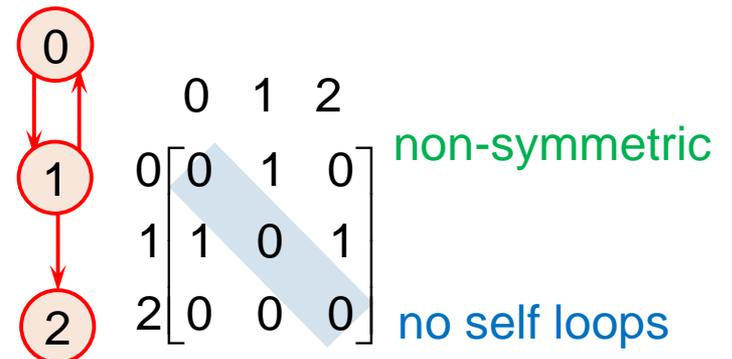
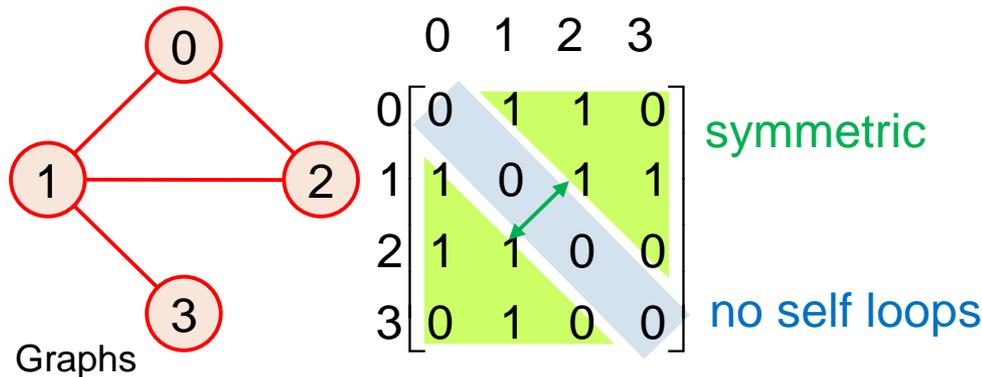
```
    int e; // # of edges
```

```
};
```



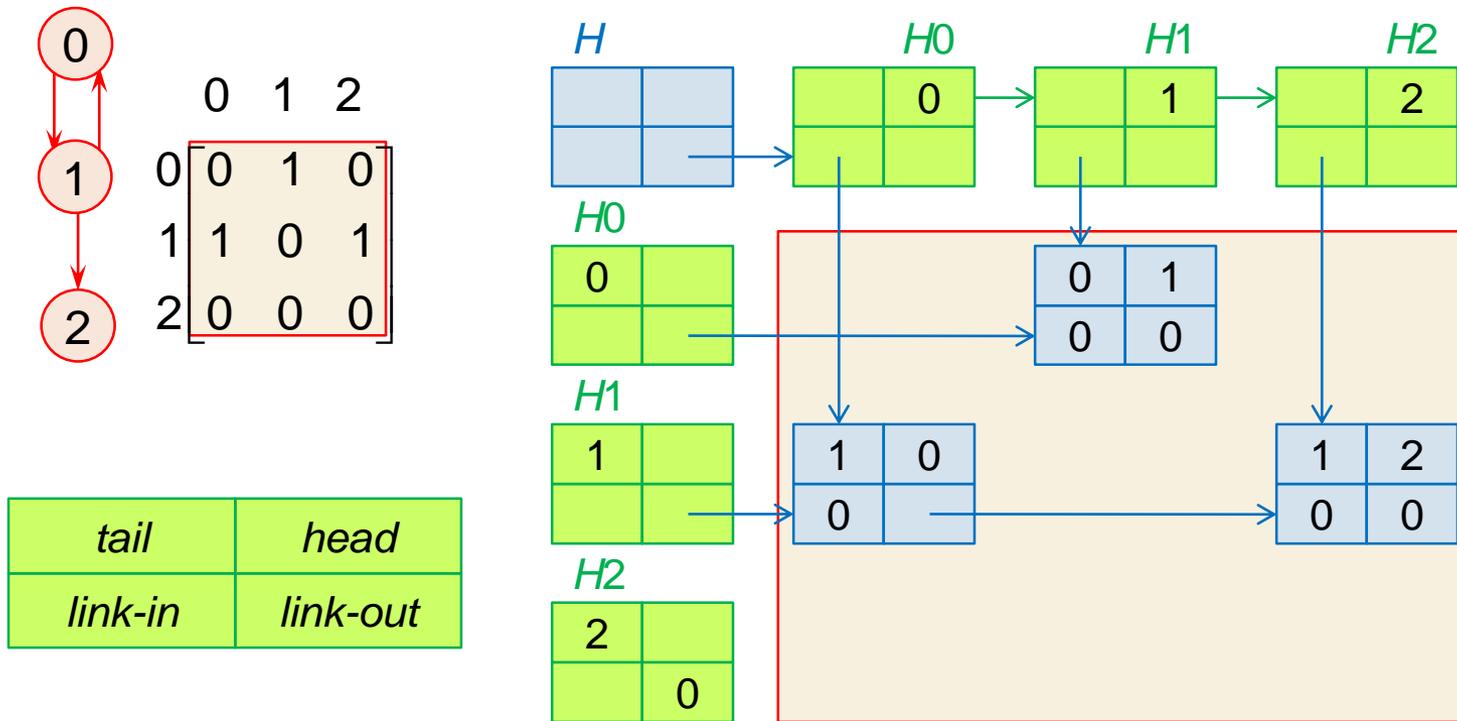
# Adjacency Matrix

- The **adjacency matrix**  $a$  of  $n$ -vertex  $G$  is an  $n \times n$  matrix where
  - ▣  $a[i][j] = 1$  iff  $(i, j) \in E(G)$  (or  $\langle i, j \rangle \in E(G)$ )
  - ▣  $a[i][j] = 0$ , otherwise
- **Degree?**
  - ▣ Undirected: row sum or column sum
  - ▣ Directed: in-degree = column sum; out-degree = row sum
- **Space:  $O(n^2)$** 
  - ▣ Suitable for **dense** graph
  - ▣ How to save space if undirected?
  - ▣ What if sparse graph?



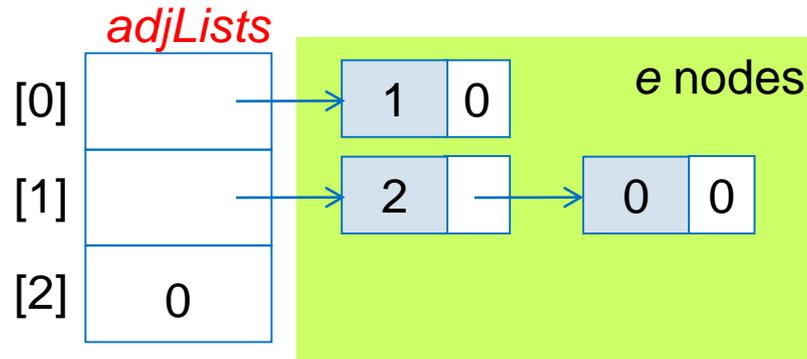
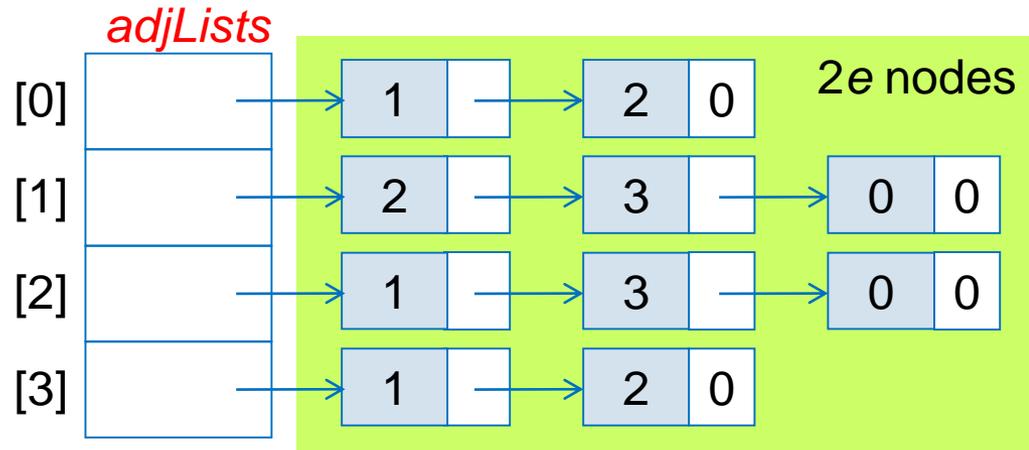
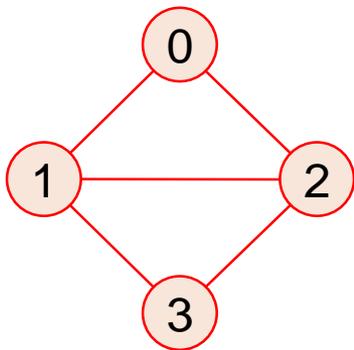
# Orthogonal List Representation

- Use simplified sparse matrix representation (ref. Chap 4)



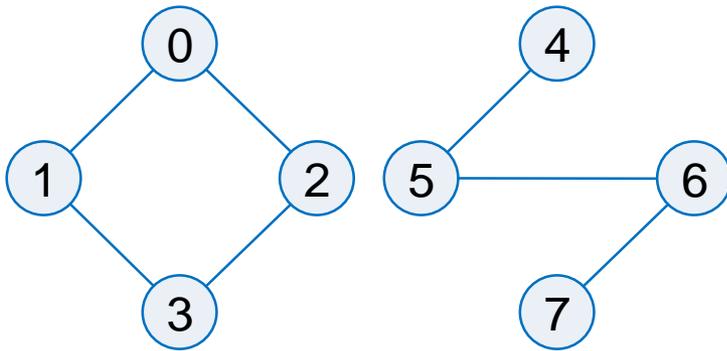
# Adjacency List

- The **adjacency list** is an array *adjLists* of  $n$  chains, one for each vertex represents vertices **adjacent from it**
- **Space:  $O(n+e)$** 
  - Good for **sparse** graph



# Sequential Representation of Adjacency List

- Pack the adjacency lists into an array  $node[n+2e+1]$
- Small storage but slow insertion/deletion



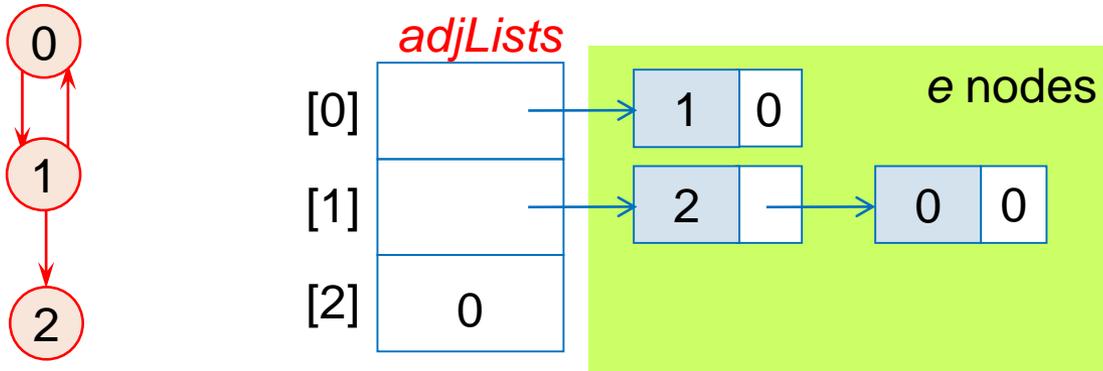
[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]	[10]	[11]	[12]	[13]	[14]	[15]	[16]	[17]	[18]	[19]	[20]	[21]	[22]
9	11	13	15	17	18	20	22	23	2	1	3	0	0	3	1	2	5	6	4	5	7	6

$n+1$  entries

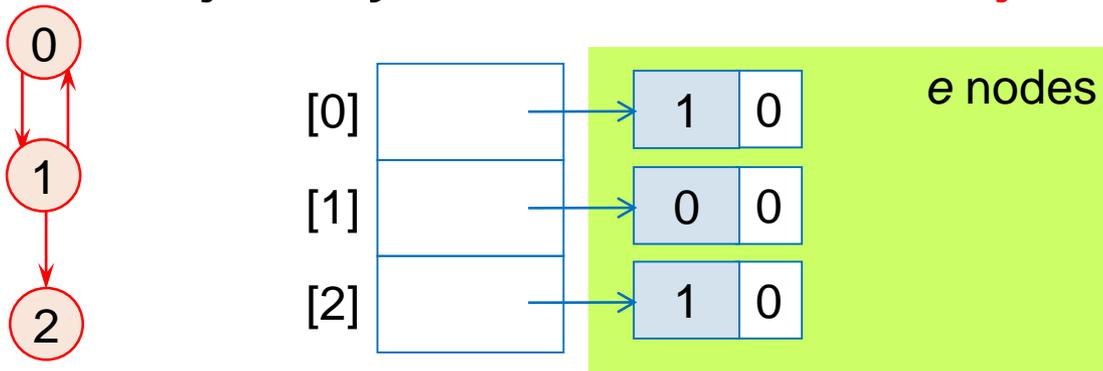
$2e$  entries

# Inverse Adjacency List

- How to determine in-degree in an adjacency list?



- Inverse** adjacency list records vertices **adjacent to it**

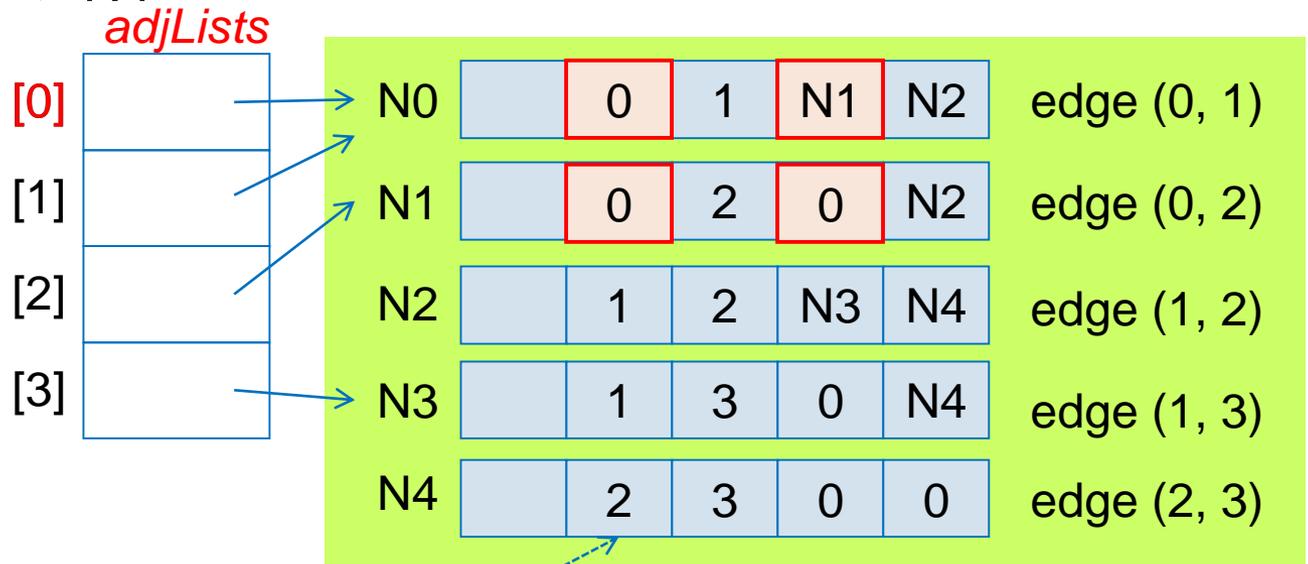
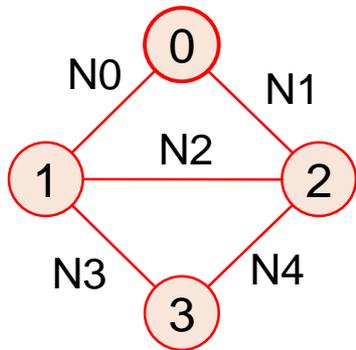


- Keep both if repeatedly accessing all vertices

# Adjacency Multilists

□ Represent each edge by one node

- ⇒ Each edge is in two lists
- **Vertex 0: N0 -> N1**
- Vertex 1: N0 -> N2 -> N3
- Vertex 2: N1 -> N2 -> N4
- Vertex 3: N3 -> N4

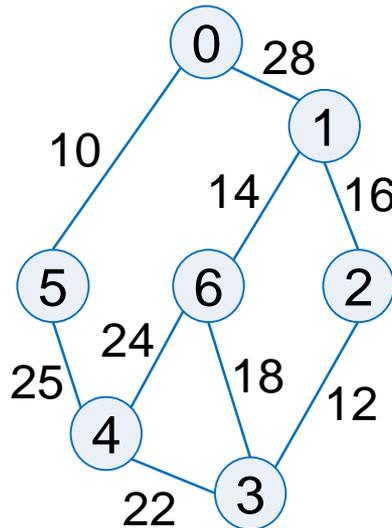


examined?



# Weighted Edges

- A graph with weighted edges is called a **network**
  - Weights can be distance, cost, or other quantities...
  - Adjacency matrix:  $a[i][j]$  keeps non-zero weights instead of 1
  - Adjacency list: require an additional field



# Adjacency Matrix vs. Adjacency List

Comparison	Winner
Faster to find an edge?	
Faster to find degree?	
Faster to traverse the graph?	
Storage for sparse graph?	
Storage for dense graph?	
Edge insertion or deletion?	
Weighted-graph implementation?	
Better for most applications?	

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# Elementary Graph Operations

## Traversals and applications

# Graph Traversals

- Given  $G = (V, E)$  and vertex  $v$ , visit all vertices **connected to  $v$** 
  - Find vertices **reachable** from  $v$
- Depth First Search (DFS)**
  - Cf. Preorder tree traversal
- Breadth First Search (BFS)**
  - Cf. Level-order tree traversal

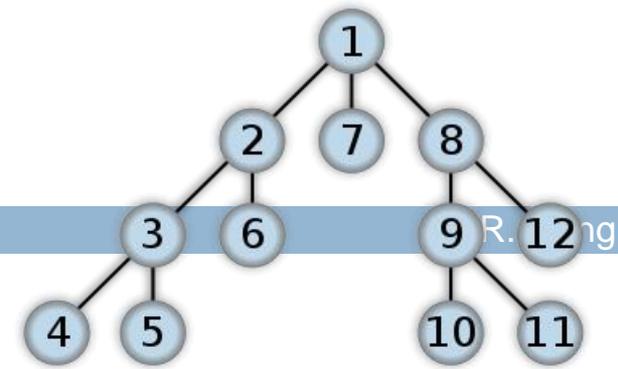
From Taipei main station, where can you go by MRT?



# Depth-First-Search (DFS)

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- **Idea: reach out by path finding**
- **Time complexity:**
  - ▣ Adjacency list:  $O(n+e)$
  - ▣ Adjacency matrix:  $O(n^2)$

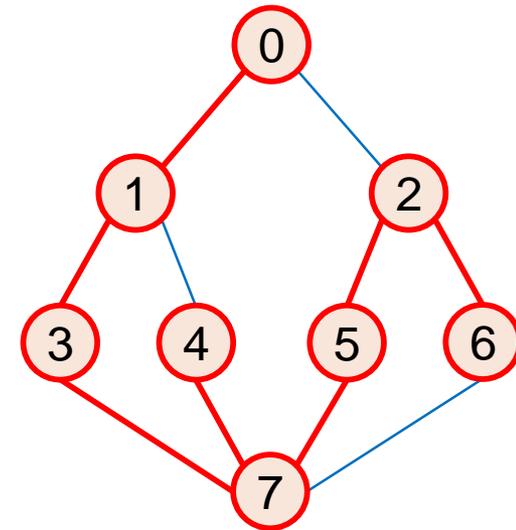


Order in which the nodes are expanded

```
void Graph::DFS() { // Driver
    visited = new bool[n]; // n vertices: 0..n-1
    for (int i = 0; i < n; i++)
        visited[i] = false; // initially, all vertices are unvisited
    DFS(0); // begin with vertex 0
    delete [] visited;
}
```

Stack-based

```
void Graph::DFS(int v) { // Workhorse
    // Visit all unvisited vertices reachable from vertex v
    visited[v] = true;
    for (each vertex w adjacent to v)
        if (!visited[w]) DFS(w); // recursive call
}
```



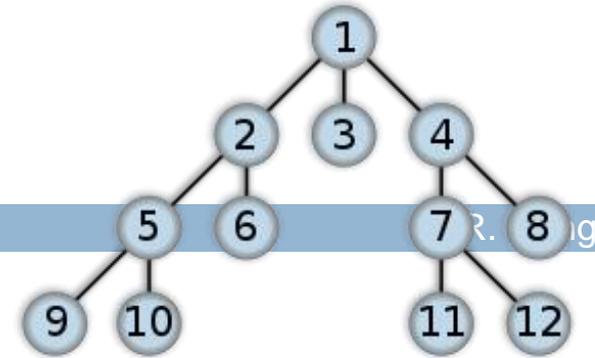
DFS traversal order:  
0, 1, 3, 7, 4, 5, 2, 6

Cf. Preorder

# Breadth-First-Search (BFS)

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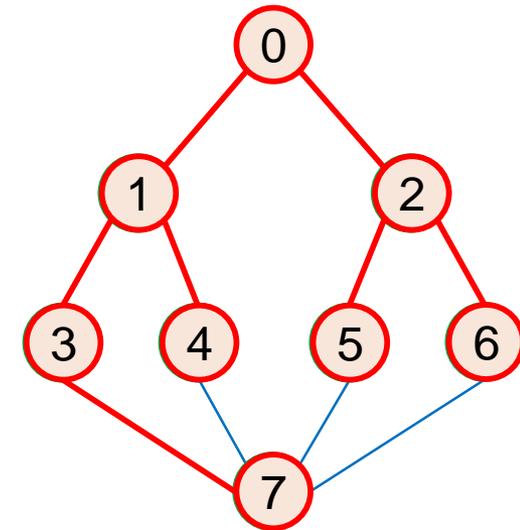
- **Idea: propagate the waves**
- **Time complexity:**
  - ▣ Push each vertex into queue once
  - ▣ Adjacency list:  $O(n+e)$
  - ▣ Adjacency matrix:  $O(n^2)$



Order in which the nodes are expanded

```
void Graph::BFS(int v) {  
    visited = new bool[n];  
    for (int i = 0; i < n; i++) visited[i] = false;  
    Queue<int> q;  
    visited[v] = true; q.Push(v); // begin with vertex v  
    while (!q.IsEmpty()) {  
        v = q.Front(); q.Pop();  
        for (each vertex w adjacent to v)  
            if (!visited[w]) {  
                visited[w] = true; q.Push(w);  
            } // end of while  
    } delete [] visited;  
}
```

Queue-based



BFS traversal order:  
0, 1, 2, 3, 4, 5, 6, 7

Cf. Level-order

# Applications of Graph Traversals

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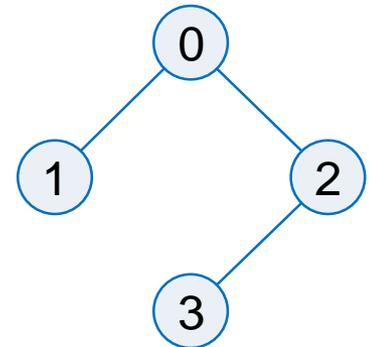
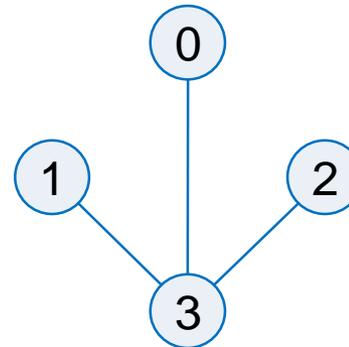
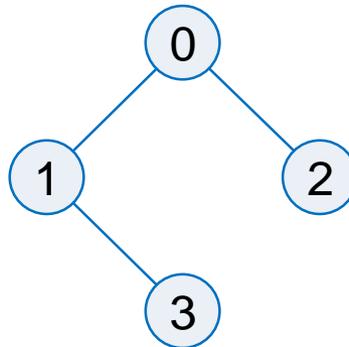
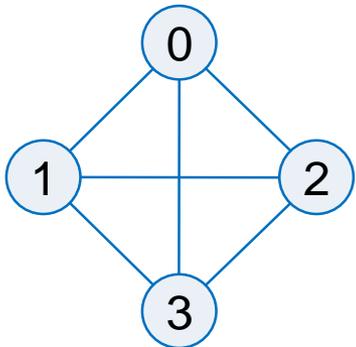
H.-R. Jiang

- **Find connected components**
  - ▣ Since DFS/BFS visits all vertices connected to some vertex
- **Find a spanning tree of a connected graph**
  - ▣ A **spanning tree** of  $G$  is a tree that
    - Includes all vertices in  $G$  (i.e., spans over vertices)
    - Uses partial/all edges in  $G$  (i.e.,  $n-1$  edges)
  - ▣ DFS/BFS traverses all reachable vertices via edges of  $G$
- **Find biconnected components**

A graph can have many spanning trees

Graph

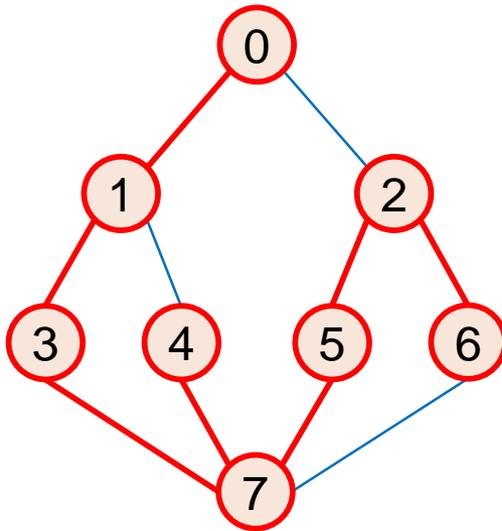
Spanning trees



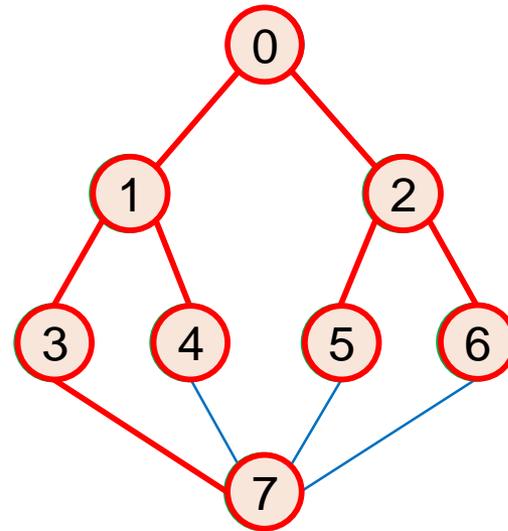
Graphs

# Depth-First/Breadth-First Spanning Trees

- DFS/BFS visits each reachable vertex via some edge of  $G$ 
  - The reachable vertices and these edges form **a spanning tree**



*DFS(0)*

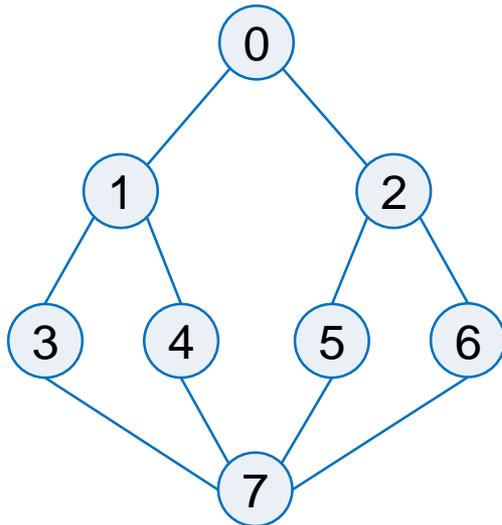


*BFS(0)*

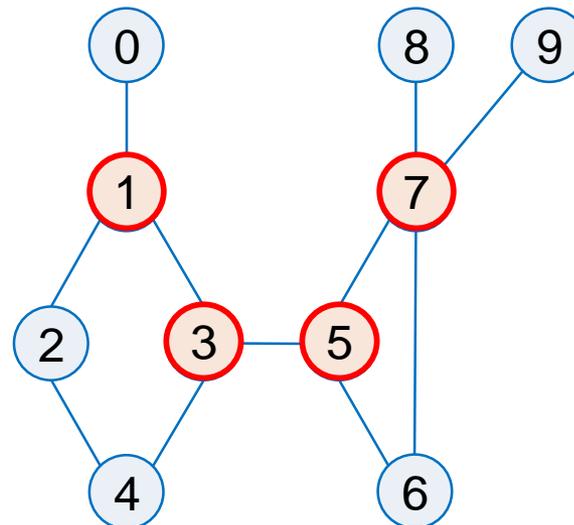
- Q: What will you get if the graph is not fully connected?

# Biconnected Graphs

- **Definition:** An **articulation point**  $v$  of a **connected graph**  $G$ :
  - Deleting  $v$  and all edges incident to  $v$  results in  $G$  disconnected
- A **biconnected graph** is a **connected graph without articulation points**
  - e.g., a communication network is desirable to be biconnected



Biconnected graph

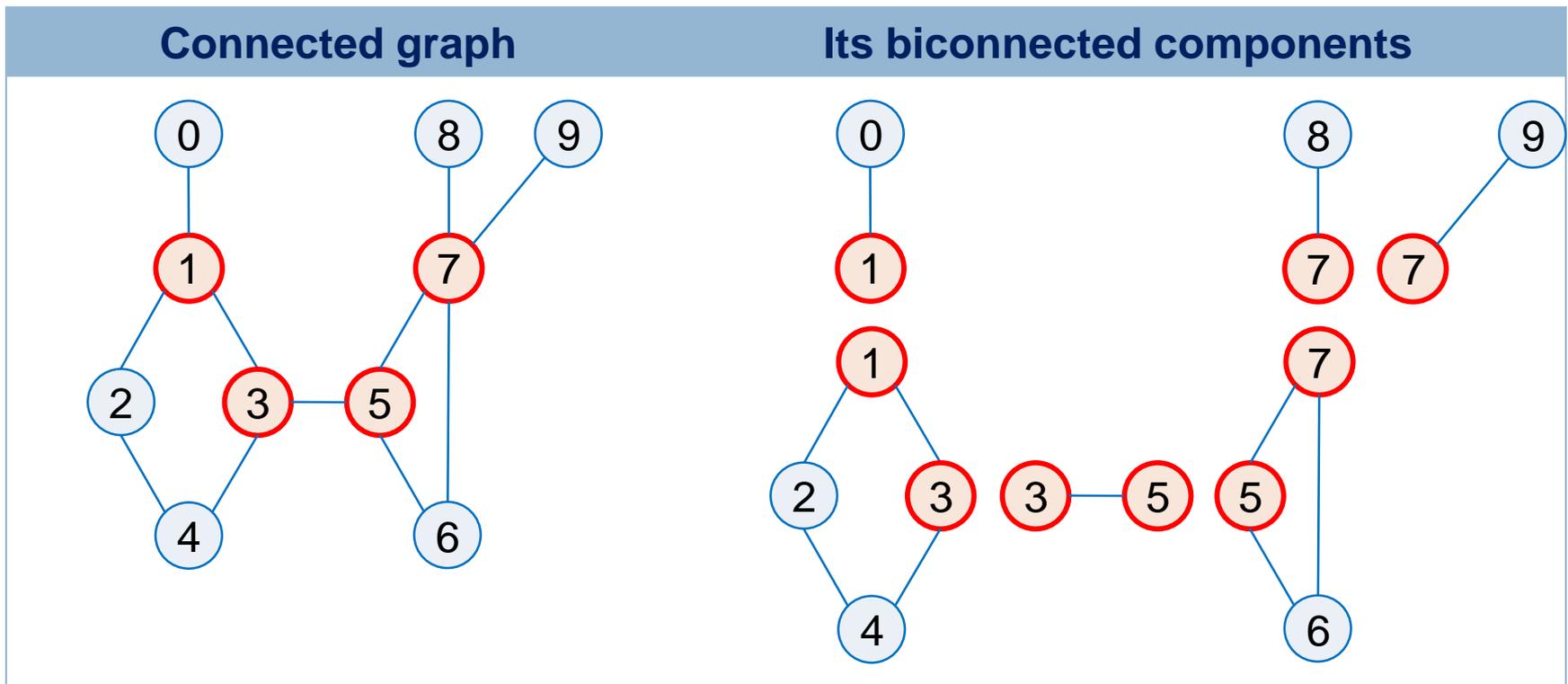


Not biconnected graph

Articulation points: 1, 3, 5, 7

# Biconnected Components

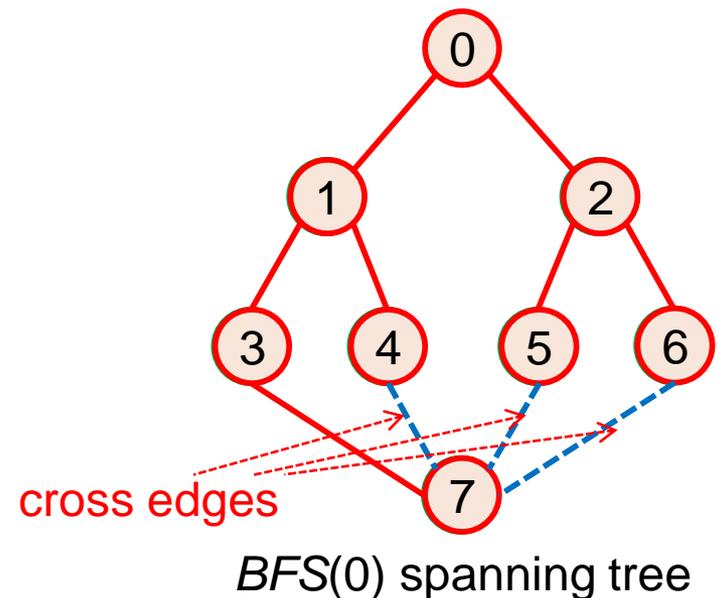
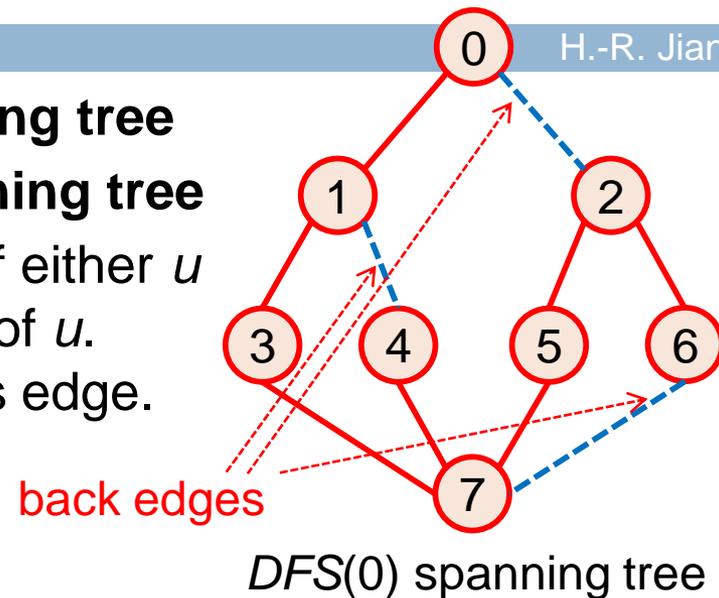
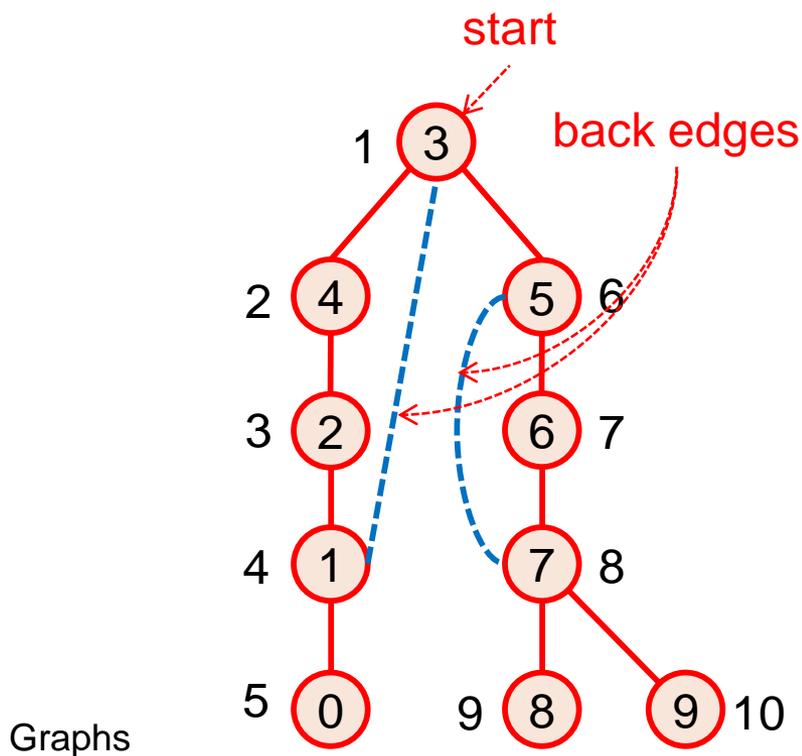
- A **biconnected component** of a connected graph  $G$  is a **maximal biconnected subgraph  $H$**  of  $G$ 
  - $\Rightarrow$  A biconnected graph has only one biconnected component
  - $\Rightarrow$  Finding biconnected components  $\equiv$  finding articulation points





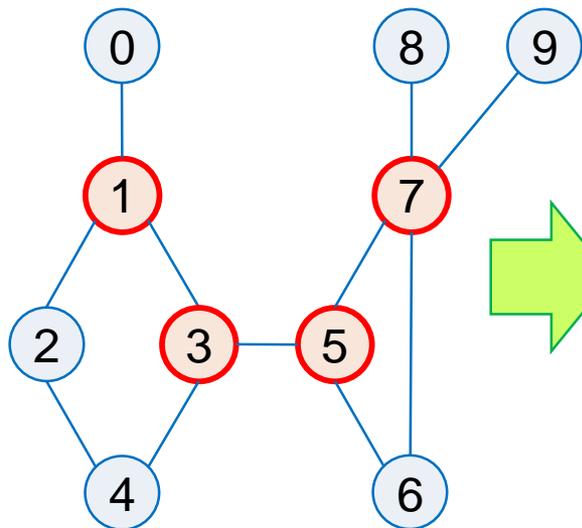
# Back Edges vs. Cross Edges

- No **cross** edges in a depth-first spanning tree
- No **back** edges in a breadth-first spanning tree
  - ▣ A nontree edge  $(u, v)$  is a back edge iff either  $u$  is an ancestor of  $v$  or  $v$  is an ancestor of  $u$ . Otherwise, the nontree edge is a cross edge.



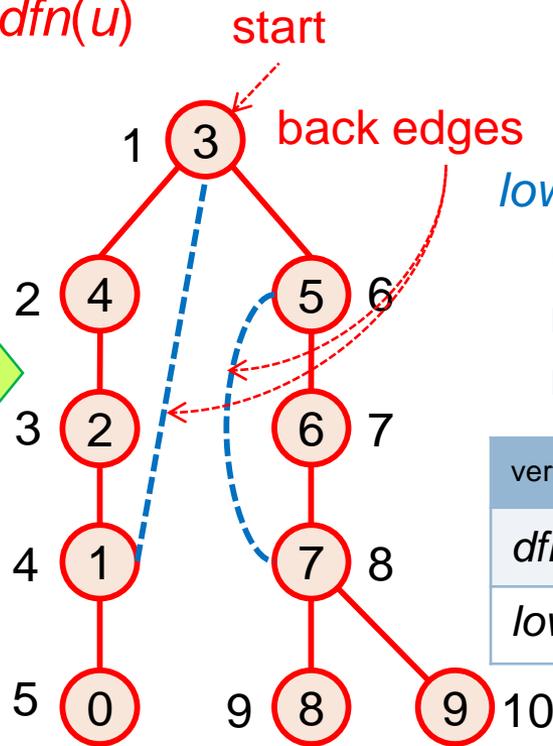
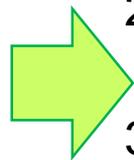
# Who is an Articulation Point?

- The root of DFS spanning tree iff it has at least 2 children
- Any other vertex  $u$  iff it has at least one child  $w$  s.t.
  - ▣ It's impossible to reach an ancestor of  $u$  using a path composed solely of  $w$ , descendants of  $w$  and a single back edge
  - ▣ i.e.,  $low(w) \geq dfn(u)$



Articulation points:  
1, 3, 5, 7

Graphs



$$low(w) = \min\{$$

$$dfn(w),$$

$$\min\{low(x) \mid x \text{ is } w\text{'s child}\},$$

$$\min\{dfn(x) \mid (w, x) \text{ is a back edge}\}$$

vertex	0	1	2	3	4	5	6	7	8	9
dfn	5	4	3	1	2	6	7	8	9	10
low	5	1	1	1	1	6	6	6	9	10

# Computing *dfn* and *low*

```
void Graph::DfnLow(const int x) { // begin DFS at Vertex x
    num = 1; // num is an int data member of class Graph
    dfn = new int[n]; // dfn is also a data member
    low = new int[n]; // low is also a data member
    for (int i = 0; i < n; i++) dfn[i] = low[i] = 0;
    DfnLow(x, -1); // start at x
    delete[] dfn; delete [] low;
}

void Graph::DfnLow (int u, int v) {
    dfn[u] = low[u] = num++; // visit it!
    for (each vertex w adjacent from u)
        if (dfn[w] == 0) { w is unvisited
            DfnLow(w, u); // recursive
            low[u] = min(low[u], low[w]);
        } else if (w != v) // v: u's parent, (w, u): back edge
            low[u] = min(low[u], dfn[w]);
}
```

```
void Graph::DFS() {
    visited = new bool[n];
    for (int i = 0; i < n; i++)
        visited[i] = false;
    DFS(x); // begin with vertex 0
    delete [] visited;
}

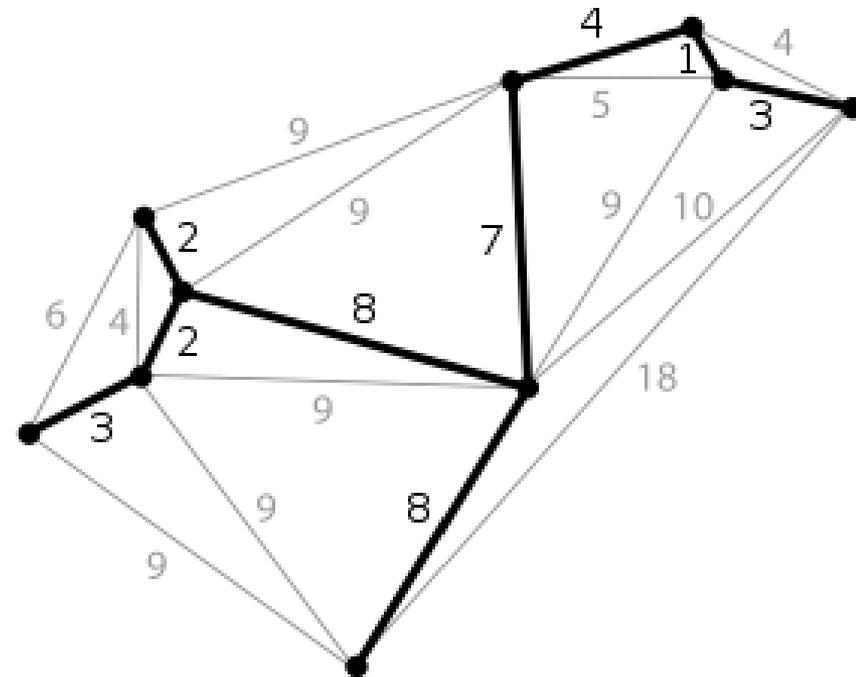
void Graph::DFS(int v) {
    visited[v] = true;
    for (each vertex w adjacent to v)
        if (!visited[w]) DFS(w);
}
```

# Minimum-Cost Spanning Trees

**Road construction**

**Water pipes**

**Routing**



# How to Construct an MST on Your Own?

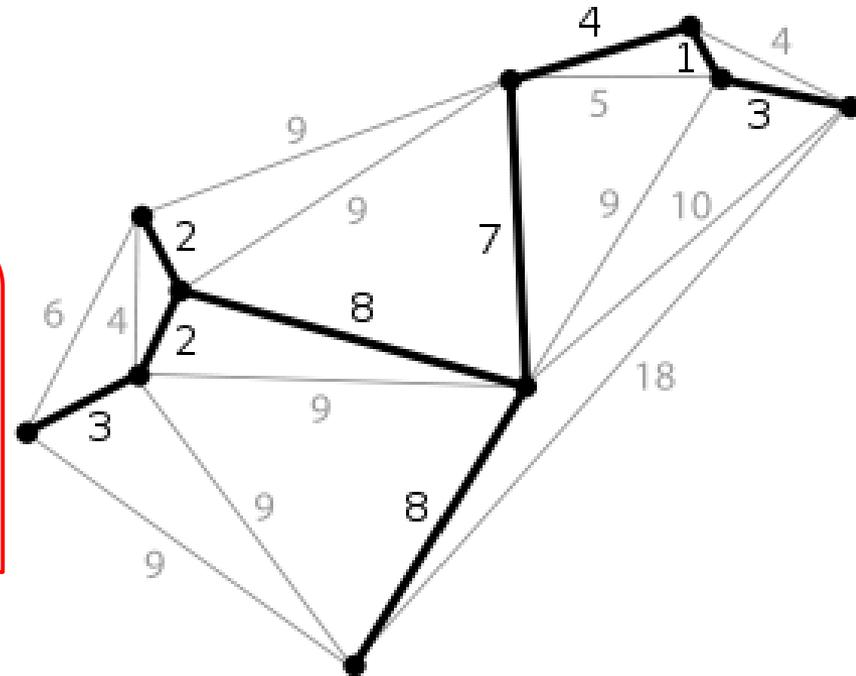
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H.-R. Jiang

- **Rule:**
  - Grow a tree to connect all vertices s.t. the total cost is minimized
- **Q: How?**
- **Hint: Greedy**
- **Basic idea:**
  1. Start from a vertex (or edge)
  2. Expand the tree

MST( $G, W$ )

1.  $T = \{\}$  // empty  $\emptyset$
2. **while**  $T$  does not form a spanning tree **do**
3. find an edge  $e$  in  $E$  that is **safe** for  $T$
4.  $T = T$  union  $e$
5. **return**  $T$



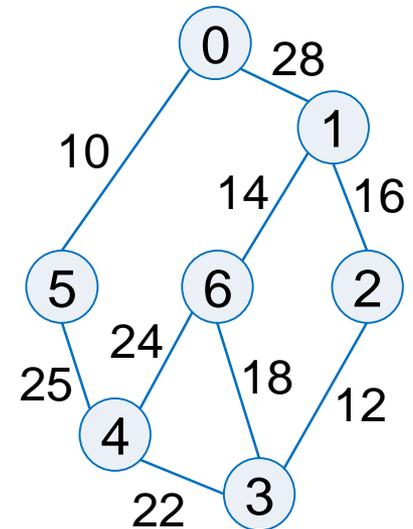
# Minimum-Cost Spanning Trees

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A graph may have many MSTs

H.-R. Jiang

- A.k.a. **minimum spanning tree, MST**
- A **minimum-cost spanning tree** of a **weighted undirected graph**:
  - **Tree**: has no cycles (selects  $|V|-1$  edges)
  - **Spanning**: spans over all vertices
  - **Minimum-cost**: has least cost
    - Cost = the sum of the weights of the tree edges
- **Applications: railway, routing, etc.**
  - Build a railway system to connect  $n$  cities, with the smallest total length of the railroad
- **Known algorithms**:
  - Kruskal's, Prim's, Sollin's
  - **Greedy**: Make the **best decision** at each stage



# Kruskal's Algorithm (1/2)

## □ Preprocessing: Sort edge weights in nondecreasing order

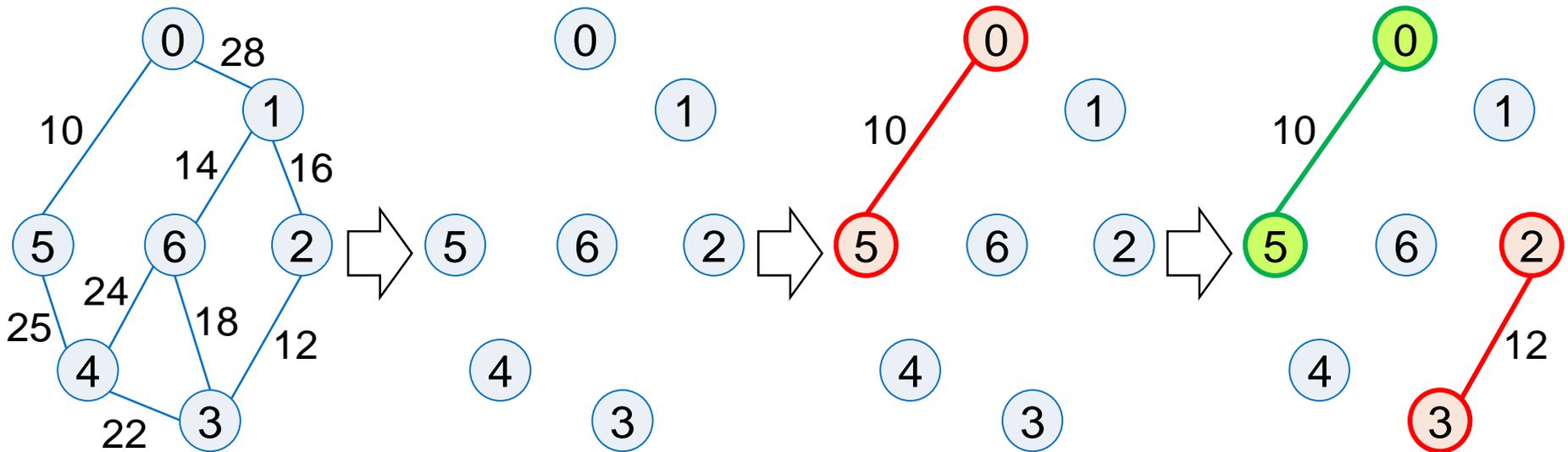
### 1. Begin with no edges selected

- Initially, a forest of  $|V|$  trees  $\Rightarrow$  Finally, a tree

$|V|$ : size of  $V$

### 2. Add one edge into $T$ at a time

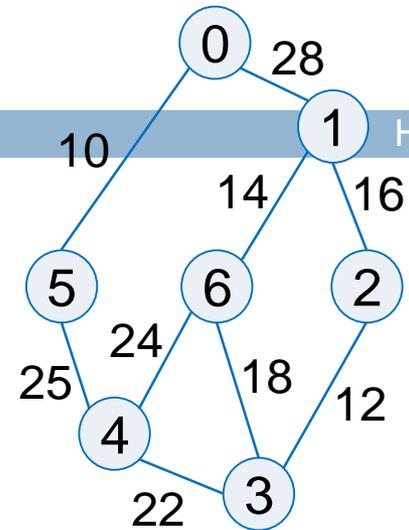
- Select an edge for inclusion in  $T$  in nondecreasing order if the resultant  $T$  does not contain a cycle
- Only  $|V|-1$  edges are included at last



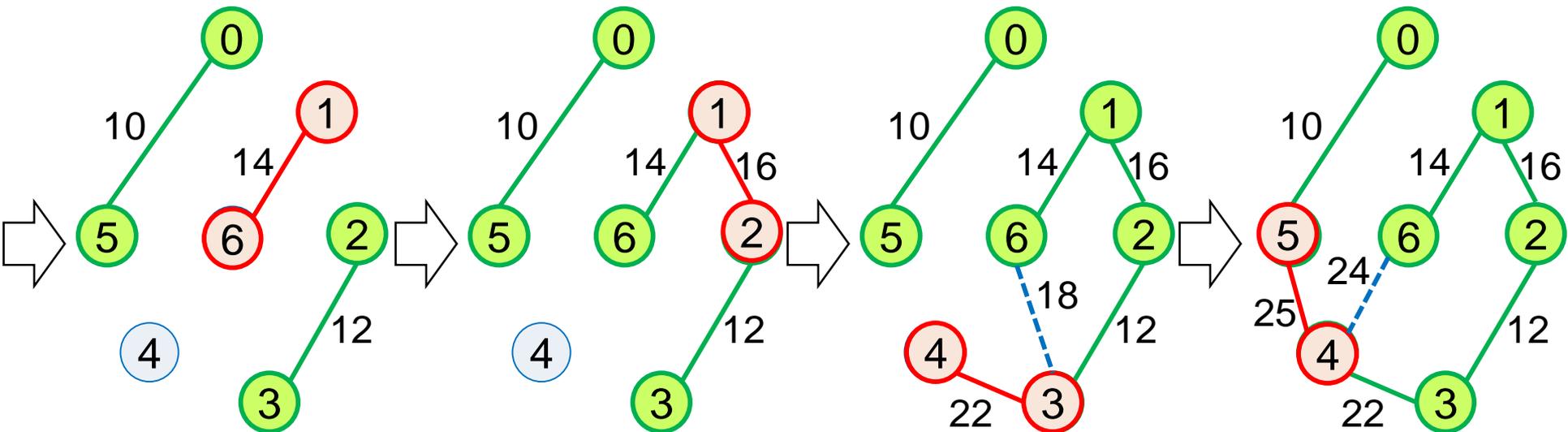
# Kruskal's Algorithm (2/2)

*Kruskal*( $G, w$ )

1.  $T = \emptyset$  // record MST edges in  $T$
2. Sort edge weight  $w$  in nondecreasing order
3. **while**  $|T| < |V| - 1$  **and**  $E \neq \emptyset$  **do**
4.     remove **min weighted** edge  $(u, v)$  from  $E$
5.     **if**  $(u, v)$  is **safe** for  $T$  **then** add  $(u, v)$  to  $T$

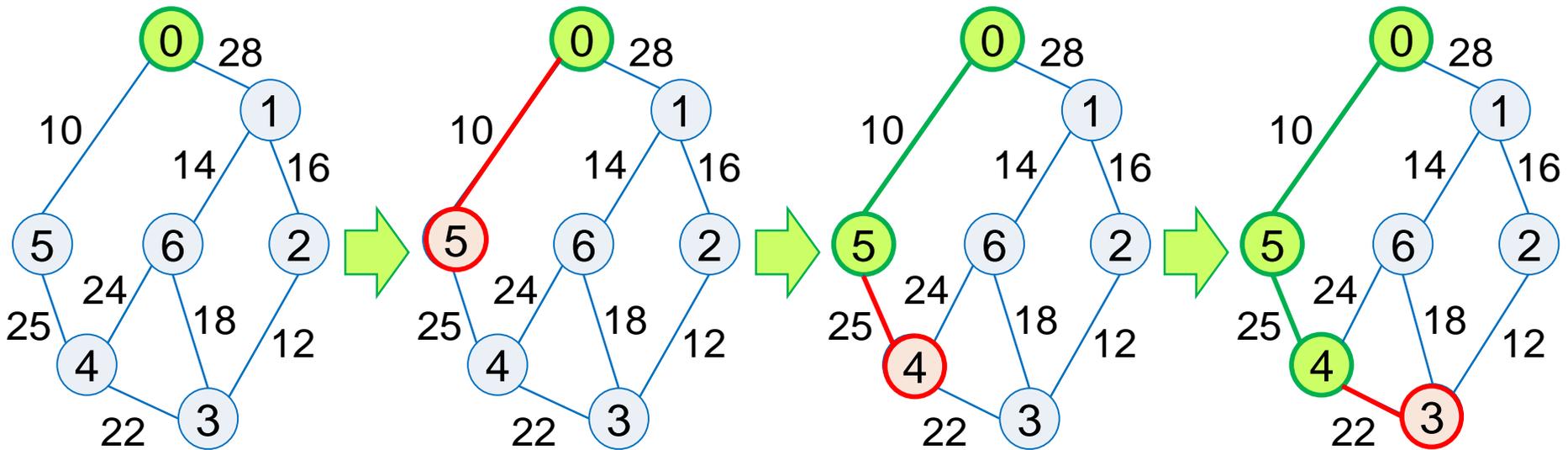


□ Time complexity:  $O(E \lg E)$



# Prim's Algorithm (1/2)

1. **Begin with a tree  $T$  containing a single vertex**
  - ▣ Any vertex in the original graph
  - ▣ Initially, a tree with one vertex  $\Rightarrow$  Finally, a tree with all vertices
2. **Add a min weight edge  $(u, v)$  to  $T$  s.t.**
  - ▣  $T \cup \{(u, v)\}$  is still a **tree**  $\Rightarrow$  safe!
  - ▣  $u$  is in  $T$ ,  $v$  is not



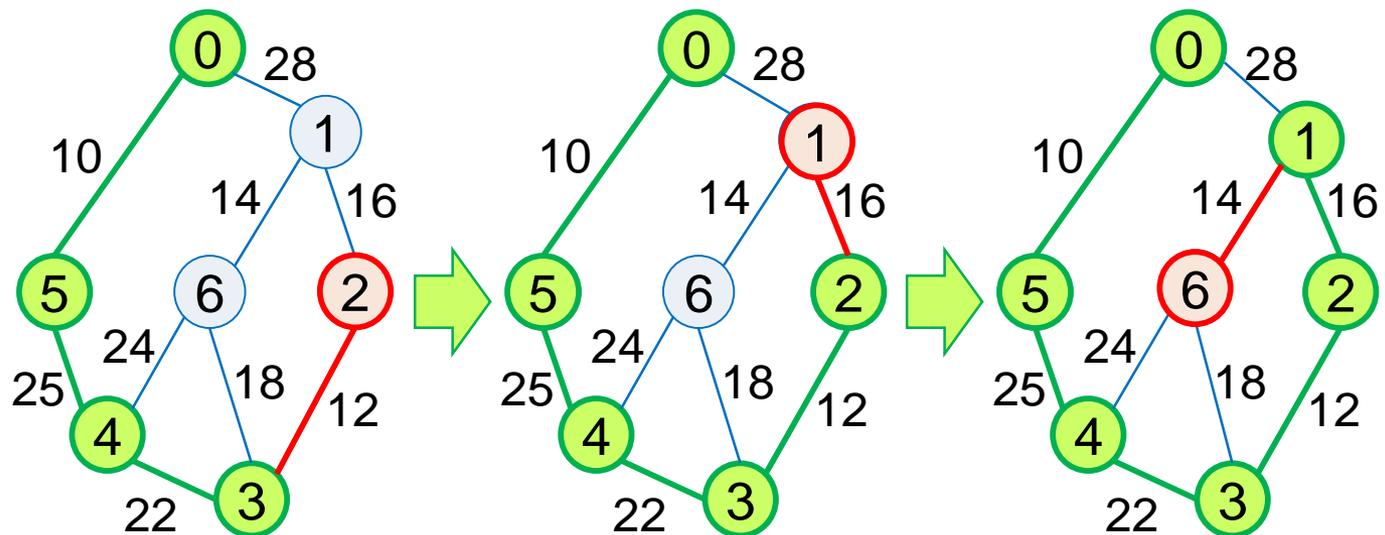
# Prim's Algorithm (2/2)

$Prim(G, w, r)$

1. **foreach** vertex  $u$  **do**
2.      $key[u] = \infty; \pi[u] = NIL$
3.      $key[r] = 0$  //  $key$ : min weight of any edge connecting to a vertex in the tree
4.      $Q = V$  //  $Q$ : min-priority queue for vertices not in the tree, based on  $key[]$ .
5. **while**  $Q \neq \emptyset$  **do**
6.      $u = ExtractMin(Q)$  // also remove  $u$  from  $Q$
7.     **foreach** vertex  $v$  in  $Adj[u]$  **do**
8.         **if**  $v$  in  $Q$  **and**  $w(u, v) < key[v]$  **then**  $\pi[v] = u; key[v] = w(u, v)$   
           // decrease key for  $v$  in  $Q$

Do not sort  $w$  directly

□ **Time complexity:  $O(E \lg V)$**



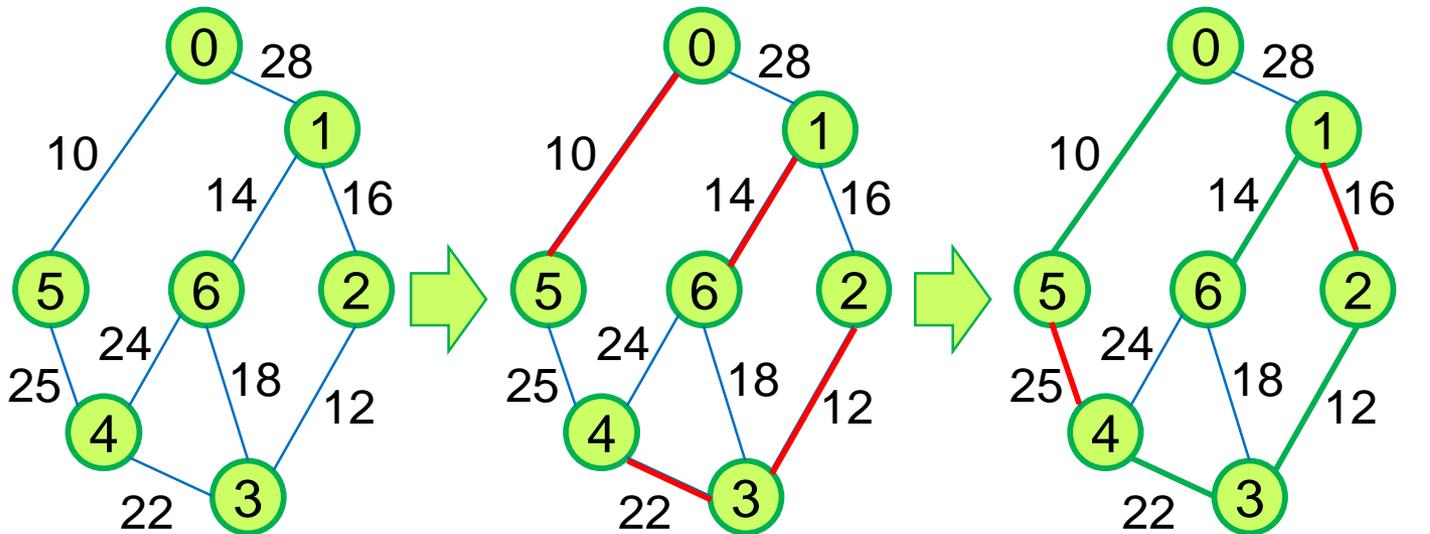
# Sollin's Algorithm

## 1. Begin with no edges selected

- Initially, a forest of  $n$  trees  $\Rightarrow$  Finally, a tree

## 2. Select several edges at a time

- Select one min-cost edge for each tree to connect another tree



(0, 5), (1, 6), (2, 3),  
(3, 2), (4, 3), (5, 0),  
(6, 1) are selected

(5, 4), (1, 2), (2, 1)  
are selected

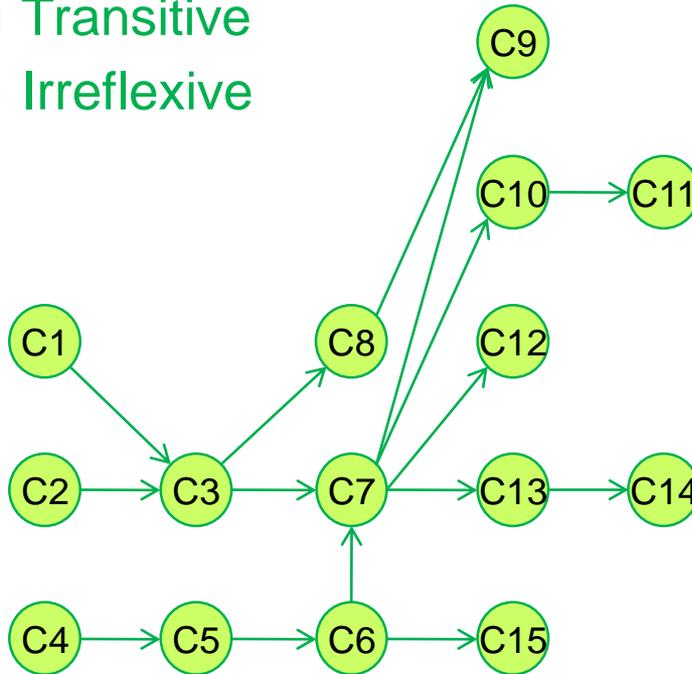
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# Topological Sort

**Static timing analysis  
Simulation**

# Precedence Relation in Graphs

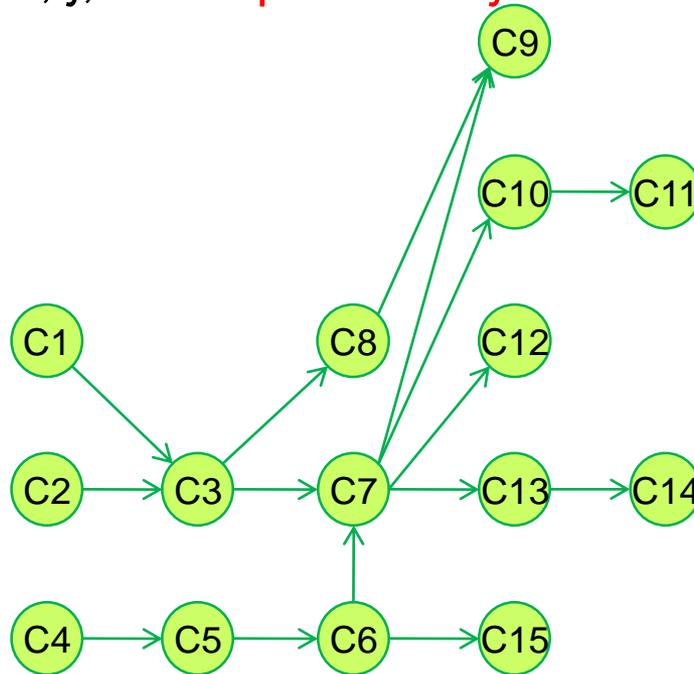
- **DAG**: directed acyclic graph
- The **precedence relation** between two vertices
  - $E(G)$ :  $\langle i, j \rangle$ : Task  $i$  must occur before  $j$
  - e.g., course schedule
    - $\langle i, j \rangle \in E(G)$ :  $i$  is  $j$ 's prerequisite
    - Transitive
    - Irreflexive



No.	Course name	Prerequisites
C1	Programming I	None
C2	Discrete Mathematics	None
C3	Data Structures	C1, C2
C4	Calculus I	None
C5	Calculus II	C4
C6	Linear Algebra	C5
C7	Analysis of Algorithms	C3, C6
C8	Assembly Language	C3
C9	Operating Systems	C7, C8
C10	Programming Languages	C7
C11	Compiler Design	C10
C12	Artificial Intelligence	C7
C13	Computational Theory	C7
C14	Parallel Algorithms	C13
C15	Numerical Analysis	C6

# Topological Order

- **Definition:** A **topological order** is a linear ordering of the vertices of a DAG (**directed acyclic graph**)  $G$  s.t.
  - if  $\langle i, j \rangle \in E(G) \forall i, j$ , then  $i$  precedes  $j$  in the linear ordering
  - $\Rightarrow$  not unique



C1, C2, C3, C4, C5, C6, C7, C8, C9, C10, C11, C12, C13, C14, C15

C1, C2, C4, C5, C3, C6, C8, C7, C10, C13, C12, C14, C15, C11, C9

C4, C5, C2, C1, C6, C3, C8, C15, C7, C9, C10, C11, C13, C12, C14

# Topological Sort

```
1. input an  $n$ -vertex digraph;  
2. if (every vertex has a positive indegree) return; // is it a DAG?  
3. for (int  $i = 0$ ;  $i < n$ ;  $i++$ ) do // output the vertices  
4.     pick a vertex  $v$  of zero indegree;  
5.     cout <<  $v$ ;  
6.     delete  $v$  and its out-going edges from the graph;  
7. }
```

## □ Time complexity: $O(n+e)$

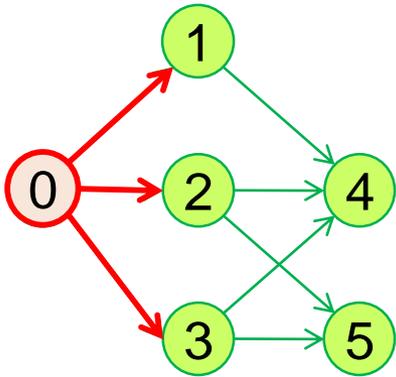
- Adopt adjacency list with an additional “indegree” field
- Use a queue/stack to keep “free” vertices
- 1—2: parse the graph once and put free vertices into queue/stack
- 3—7: pick free vertices from queue/stack one at a time
  - Output it
  - Remove out-going edges
  - Put new free vertices into queue/stack

Always can find 0-indegree vertices?

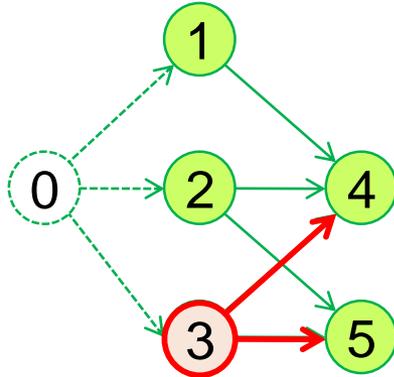
**Implementation:**

In-degree + out-going edges

# Example: Topological Sorting

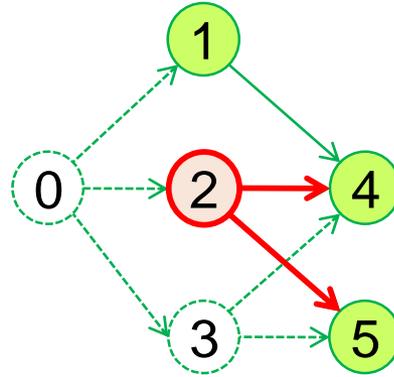


Output: 0



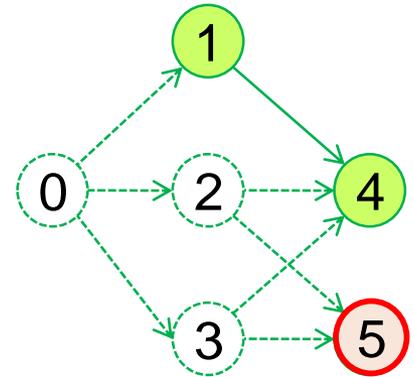
0-indegree: 1, 2, 3

Output: 0, 3



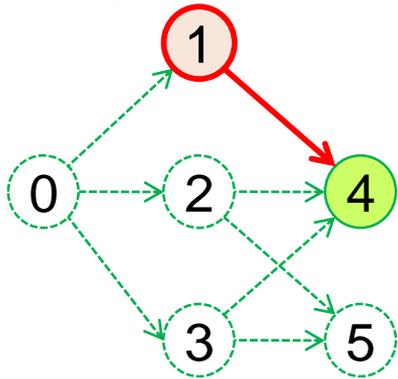
0-indegree: 1, 2

Output: 0, 3, 2



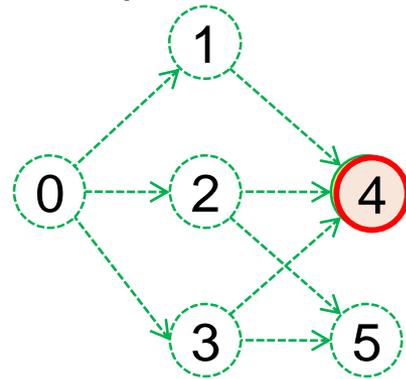
0-indegree: 1, 5

Output: 0, 3, 2, 5



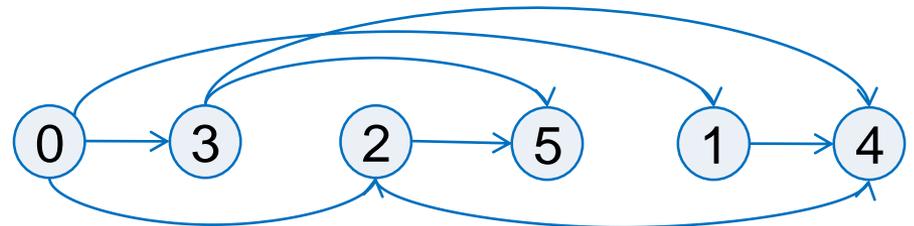
0-indegree: 1

Output: 0, 3, 2, 5, 1



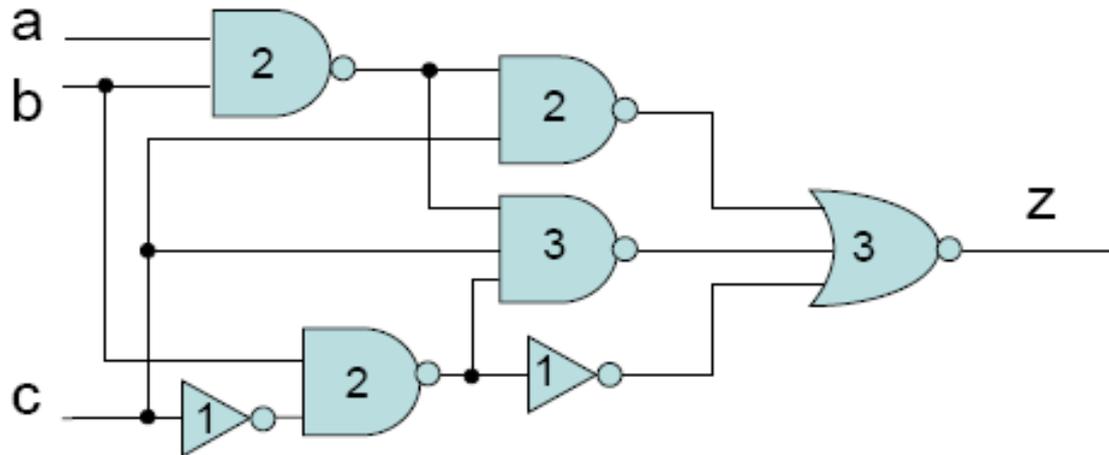
0-indegree: 4

Output: 0, 3, 2, 5, 1, 4



# Static Timing Analysis

- **A combinational logic network:**
  - DAG (directed acyclic graph)
  - Vertex: performs a primitive logic function and has delay
  - Edge: passes a signal and has delay
- **How to compute the **critical paths**?**
  - A **critical path** is a path of longest length
  - e.g., for simplicity, edges have no delay



# Definitions on Timing

- **Def: A **critical path** is a path of longest length**
  - Not unique
- **Def: The **arrival time** of a gate:**
  - Its earliest allowed time to start computing its output
- **Def: The **required time** of a gate:**
  - Its latest allowed time to generate its output
- **Def: **slack** = **criticality** = required time – arrival time**
  - WNS (worst negative slack)
  - TNS (total negative slack)

# Example: C17 Input

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H.-R. Jiang

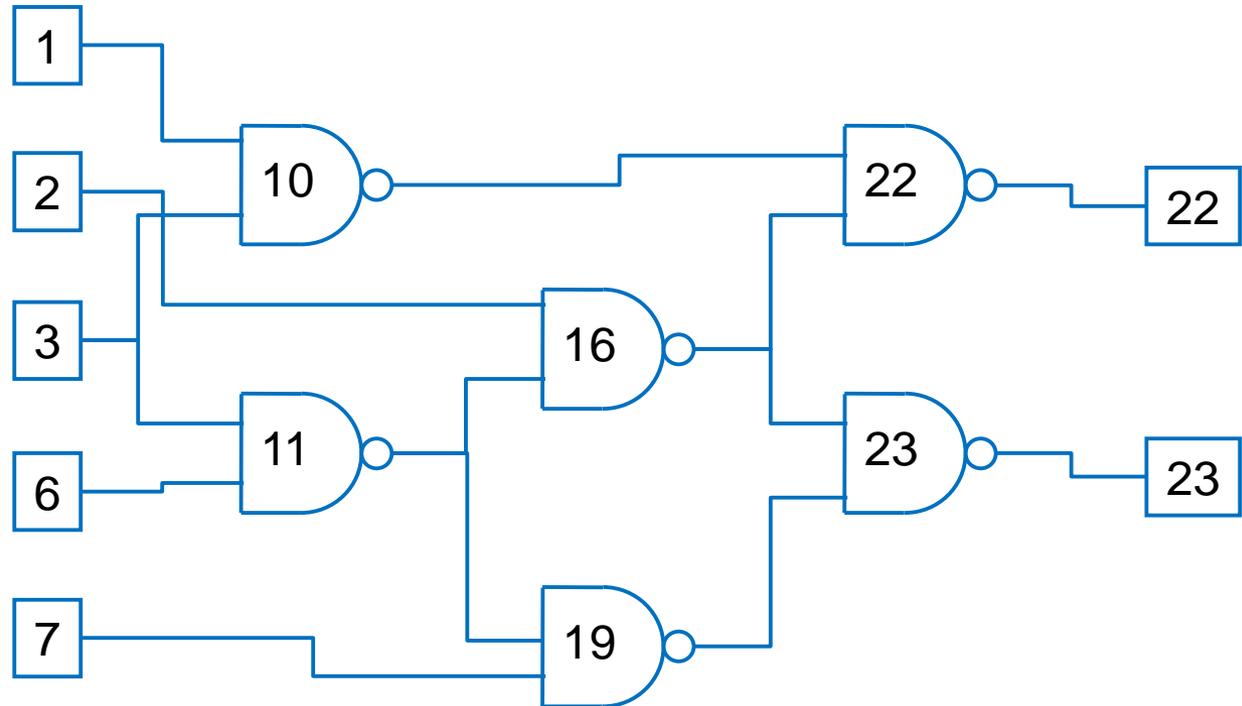
- Primary inputs/primary outputs
- Connection

INPUT(1)  
INPUT(2)  
INPUT(3)  
INPUT(6)  
INPUT(7)

OUTPUT(22)  
OUTPUT(23)

10 = NAND(1, 3)  
11 = NAND(3, 6)  
16 = NAND(2, 11)  
19 = NAND(11, 7)  
22 = NAND(10, 16)  
23 = NAND(16, 19)

10 is the output of a nand gate whose inputs are 1 and 3



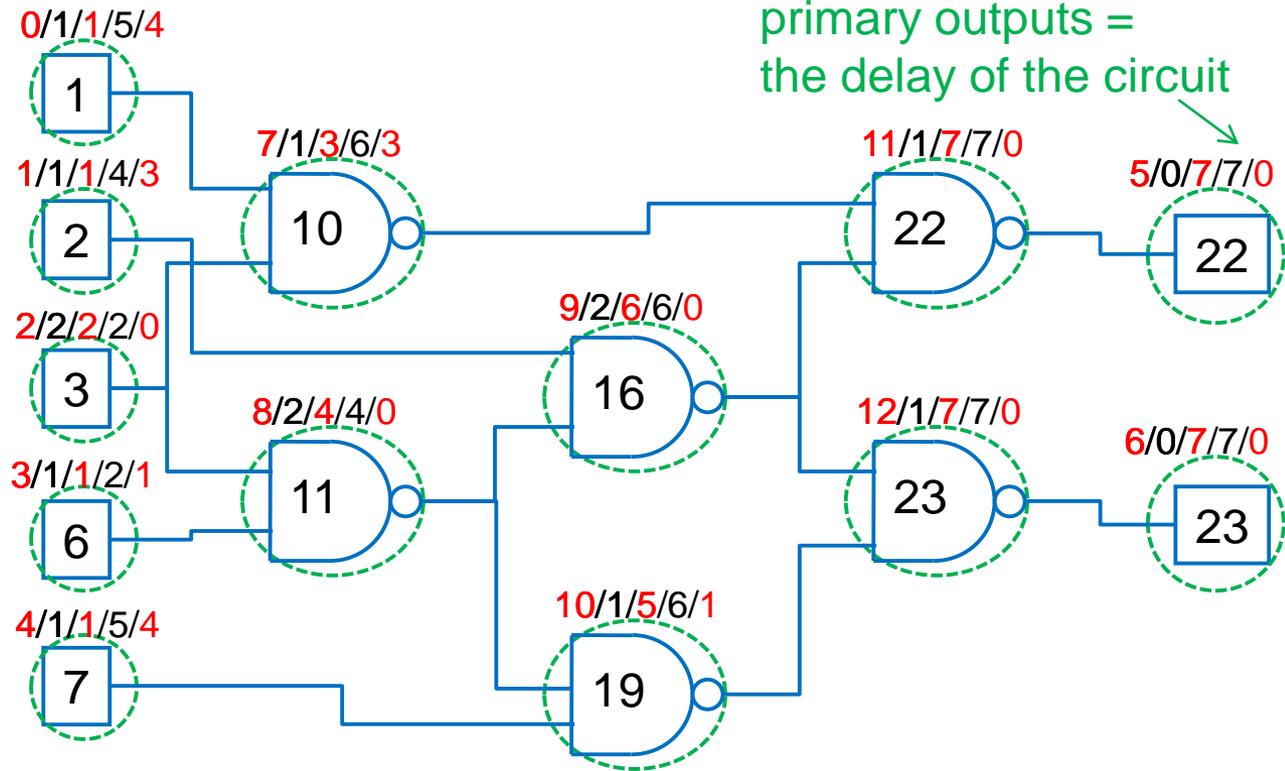
# Example: C17 Graph

- Vertex: gate/input/output: **Assume delay = # of fanout gates**
- Edge: wire

The required time at all primary outputs = the delay of the circuit

0	INPUT(1)
1	INPUT(2)
2	INPUT(3)
3	INPUT(6)
4	INPUT(7)
5	OUTPUT(22)
6	OUTPUT(23)
7	10 = NAND(1, 3)
8	11 = NAND(3, 6)
9	16 = NAND(2, 11)
10	19 = NAND(11, 7)
11	22 = NAND(10, 16)
12	23 = NAND(16, 19)

VertexID

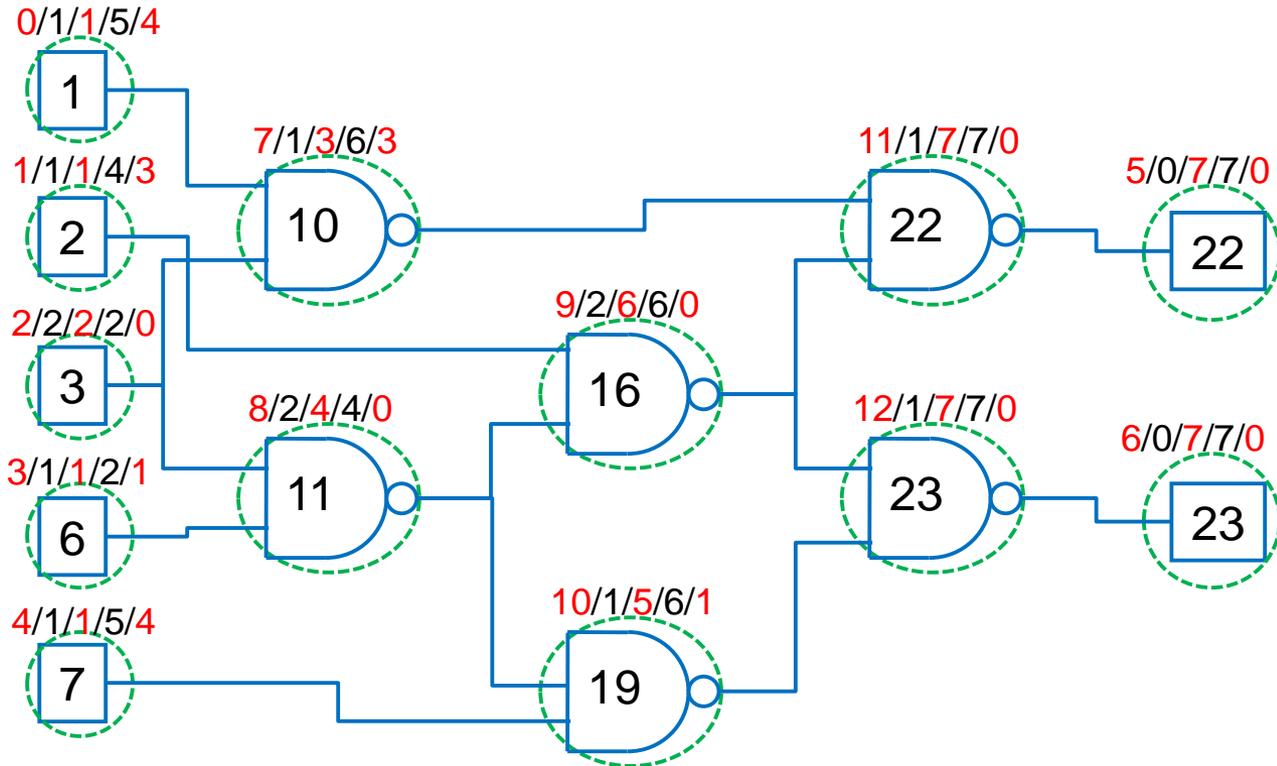


VertexID/delay/arrival/required/slack

# Example: C17 Output

- Critical path delay, input pins, output pins
- Delay info of each vertex

7
5 0 1 2 3 4
2 5 6
0 1 4
1 1 3
2 2 0
3 1 1
4 1 4
5 7 0
6 7 0
7 3 3
8 4 0
9 6 0
10 5 1
11 7 0
12 7 0



VertexID in ascending order

VertexID/delay/arrival/required/slack

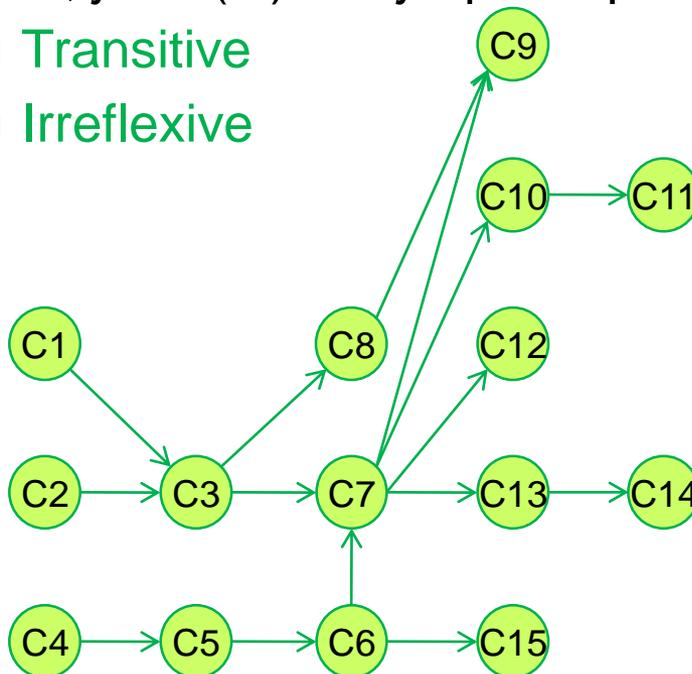
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# Activity Networks

# Activity-on-Vertex Networks

□ **Definition: An activity-on-vertex (AOV) network is a digraph  $G$**

- $V(G)$ : activities or tasks
- $E(G)$ :  $\langle i, j \rangle$ : precedence relation
  - Task  $i$  must occur before  $j$
- E.g., AOV of courses
  - $\langle i, j \rangle \in E(G)$ :  $i$  is  $j$ 's prerequisite
  - Transitive
  - Irreflexive

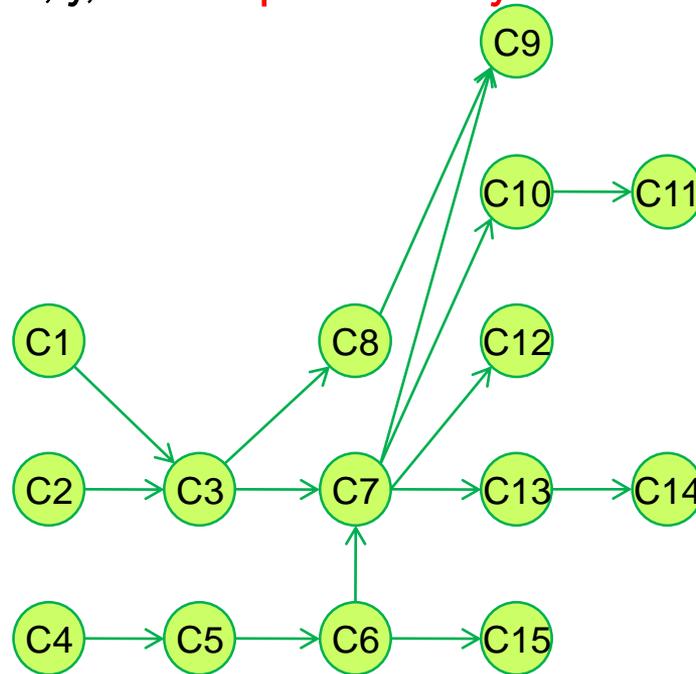


Graphs

No.	Course name	Prerequisites
C1	Programming I	None
C2	Discrete Mathematics	None
C3	Data Structures	C1, C2
C4	Calculus I	None
C5	Calculus II	C4
C6	Linear Algebra	C5
C7	Analysis of Algorithms	C3, C6
C8	Assembly Language	C3
C9	Operating Systems	C7, C8
C10	Programming Languages	C7
C11	Compiler Design	C10
C12	Artificial Intelligence	C7
C13	Computational Theory	C7
C14	Parallel Algorithms	C13
C15	Numerical Analysis	C6

# Topological Order

- **Definition:** A **topological order** is a linear ordering of the vertices of a DAG (**directed acyclic graph**)  $G$  s.t.
  - if  $\langle i, j \rangle \in E(G) \forall i, j$ , then  $i$  precedes  $j$  in the linear ordering
  - $\Rightarrow$  not unique



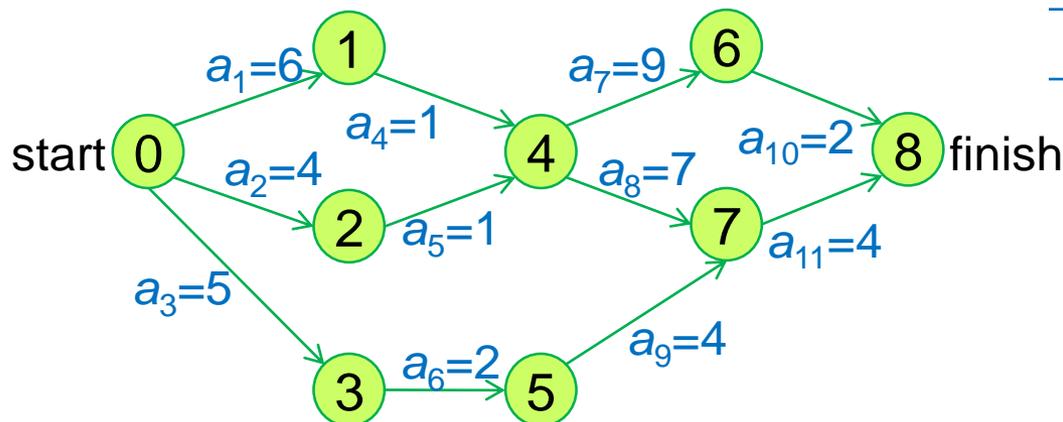
C1, C2, C3, C4, C5, C6, C7, C8, C9, C10, C11, C12, C13, C14, C15

C1, C2, C4, C5, C3, C6, C8, C7, C10, C13, C12, C14, C15, C11, C9

C4, C5, C2, C1, C6, C3, C8, C15, C7, C9, C10, C11, C13, C12, C14

# Activity-on-Edge Networks

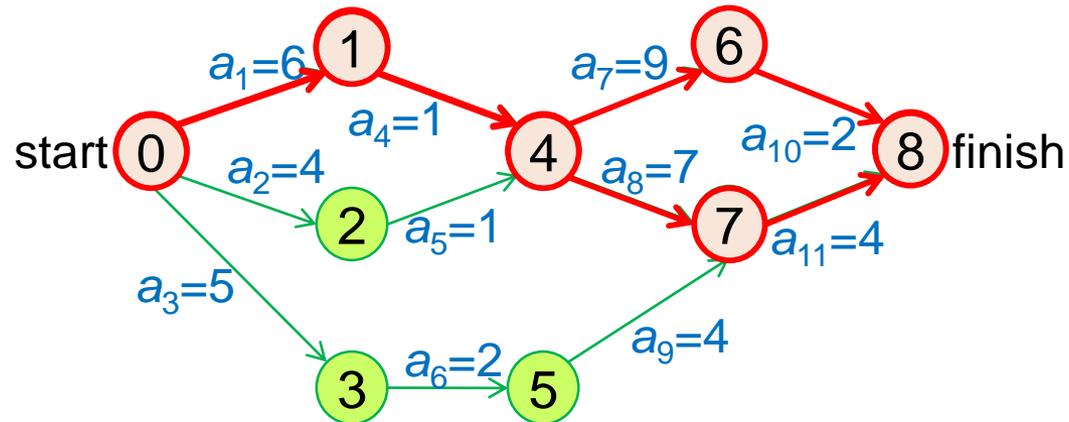
- **Definition:** An **activity-on-edge (AOE) network** is a digraph  $G$ 
  - $V(G)$ : events: signal the completion of certain activities
  - $E(G)$ : activities: launch if the event on “from” vertex has occurred
    - Edge weight: the time needed to perform the activity
  - E.g., AOE of projects
    - How **fast** can the project be done?
    - What are the **bottlenecks**?
    - How to **speedup** the project?



event	interpretation
0	Start of project
1	Completion of activity $a_1$
4	Completion of activities $a_4$ and $a_5$
7	Completion of activities $a_8$ and $a_9$
8	Completion of project

# Critical Paths

- A **critical path** is a path of longest length
  - ▣ Not unique, e.g,  $length(0, 1, 4, 6, 8)=18=length(0, 1, 4, 7, 8)=18$



- **Earliest time  $e(i)$  of activity  $a_i$ :**
  - ▣ its earliest allowed start time
- **Latest time  $l(i)$  of activity  $a_i$ :**
  - ▣ its latest start time without increasing the project duration
- **Criticality =  $l(i) - e(i)$  = slack**
- **Critical activity:  $e(i) = l(i)$**



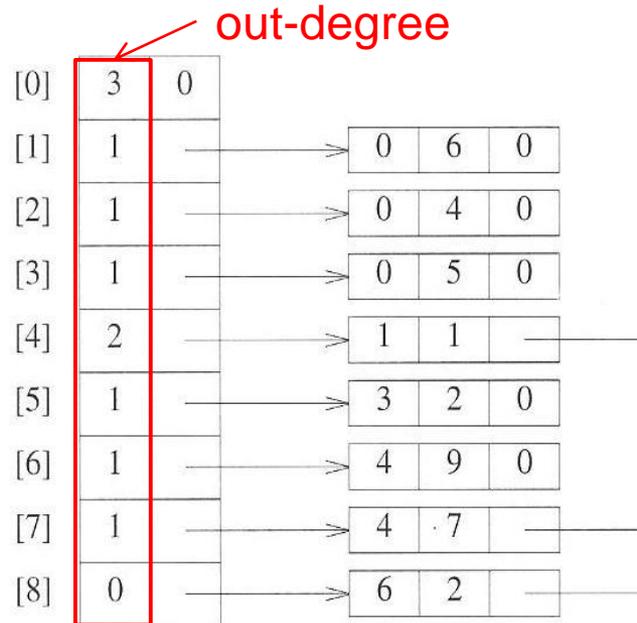
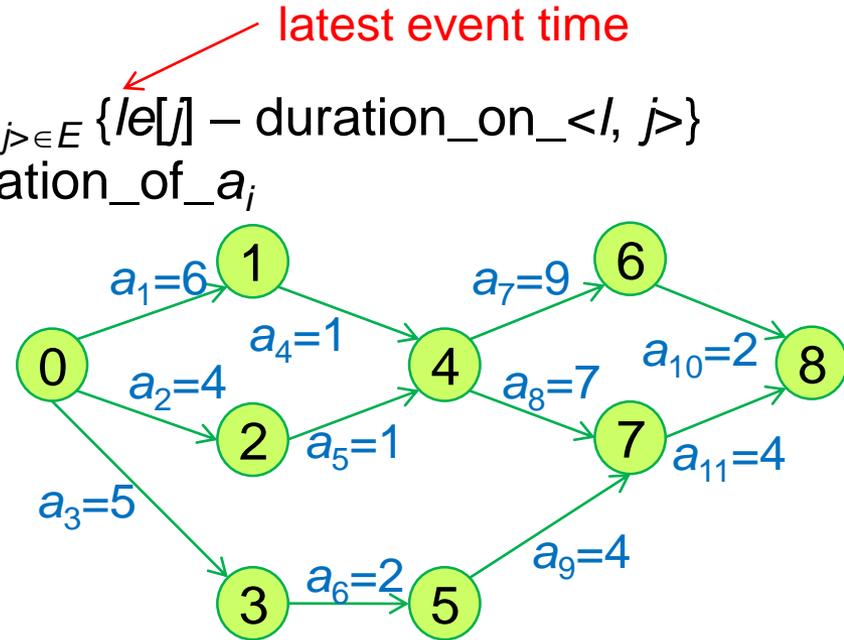
# Latest Time

- For an activity  $a_i$  on  $\langle k, l \rangle$

- $$l(i) = le[l] - \text{duration\_of\_}a_i = \min_{\langle l, j \rangle \in E} \{le[j] - \text{duration\_on\_}\langle l, j \rangle - \text{duration\_of\_}a_i\}$$

- How?

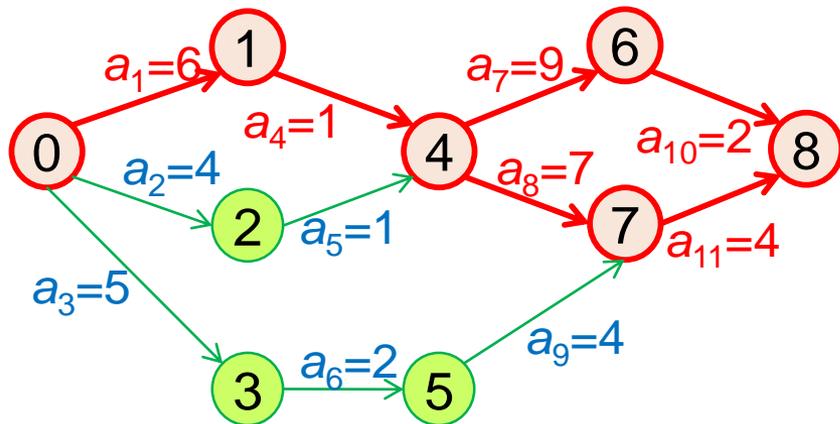
- In **reverse** topological order
- Inverse** adjacency list with **out-degree**



$le$	[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	Stack
initial	18	18	18	18	18	18	18	18	18	[8]
output 8	18	18	18	18	18	18	16	14	18	[7,6]
output 7	18	18	18	18	7	10	16	14	18	[5,6]
output 5	18	18	18	8	7	10	16	14	18	[3,6]
output 3	3	18	18	8	7	10	16	14	18	[6]
output 6	3	18	18	8	7	10	16	14	18	[4]
output 4	3	6	6	8	7	10	16	14	18	[2,1]
output 2	2	6	6	8	7	10	16	14	18	[1]
output 1	0	6	6	8	7	10	16	14	18	[0]

# Summary on Example AOE

- How **fast** can the project be done?
  - 18
- What are the **bottlenecks**?
  - $a_1, a_4, a_7, a_8, a_{10}, a_{11}$
- How to **speedup** the project?
  - Reduce durations for  $a_1, a_4, a_7, a_8, a_{10}, a_{11}$



activity	early time	late time	slack	critical
	$e$	$l$	$l - e$	$l - e = 0$
$a_1$	0	0	0	Yes
$a_2$	0	2	2	No
$a_3$	0	3	3	No
$a_4$	6	6	0	Yes
$a_5$	4	6	2	No
$a_6$	5	8	3	No
$a_7$	7	7	0	Yes
$a_8$	7	7	0	Yes
$a_9$	7	10	3	No
$a_{10}$	16	16	0	Yes
$a_{11}$	14	14	0	Yes

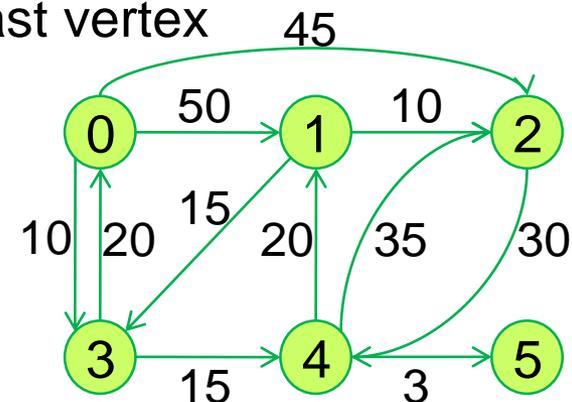
**Single source/all destinations**

**All pairs shortest paths**

**Transitive closure**

# Shortest Paths

- **Given a digraph  $G$  and vertices  $A$  and  $B$  in  $G$** 
  - ▣ Is there a path from  $A$  to  $B$ ?
  - ▣ If there is more than one path from  $A$  to  $B$ , which is shortest?
- **The length of a path  $\equiv$  the sum of the lengths of the edges on it**
  - ▣ Length: cost, weight
  - ▣ What if unweighted? The # of edges, i.e., weight = 1
    - **Q: How can you find the shortest path for an nonweighted graph?**
- **Variants**
  - ▣ Source: starting vertex; destination: last vertex
  - ▣ Single source single destination
  - ▣ **Single source all destinations**
  - ▣ All sources single destination
  - ▣ **All-pairs**



# Single Source/All Destinations

-- Nonnegative edge weights

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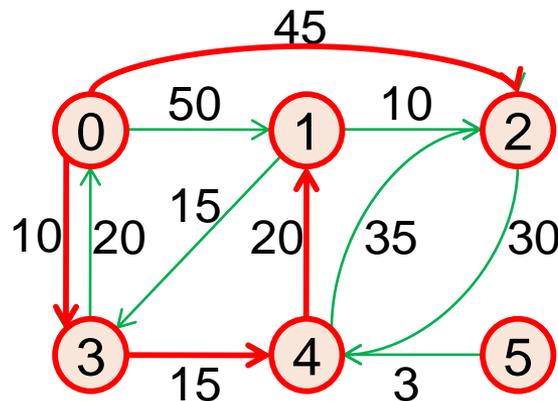
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- Start from a vertex, say 0
- Choose one vertex at a time
- Calculate its path length through **only** chosen vertices

Path	Length
0, 3	10
0, 3, 4	25
0, 3, 4, 1	45
0, 2	45
0, 5	-



Nondecreasing



Output: Shortest path tree

# Dijkstra's Algorithm

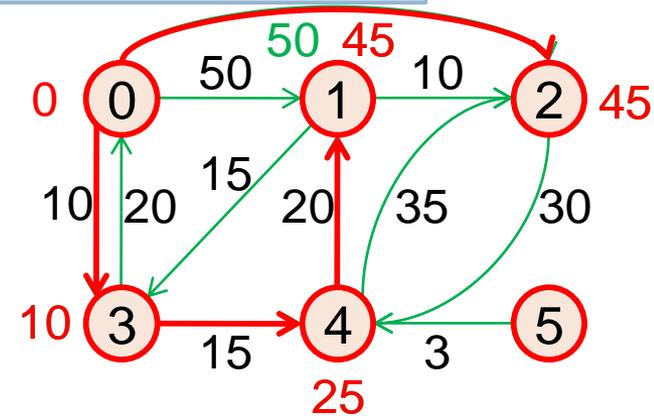
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H.-R. Jiang

- Allow only **nonnegative** edge weights
- Greedy! Cf. **Prim's** algorithm and **BFS**
- Time complexity:  $O(E \lg V)$  using min-heap

*Dijkstra*( $G, w, s$ ) // source:  $s$

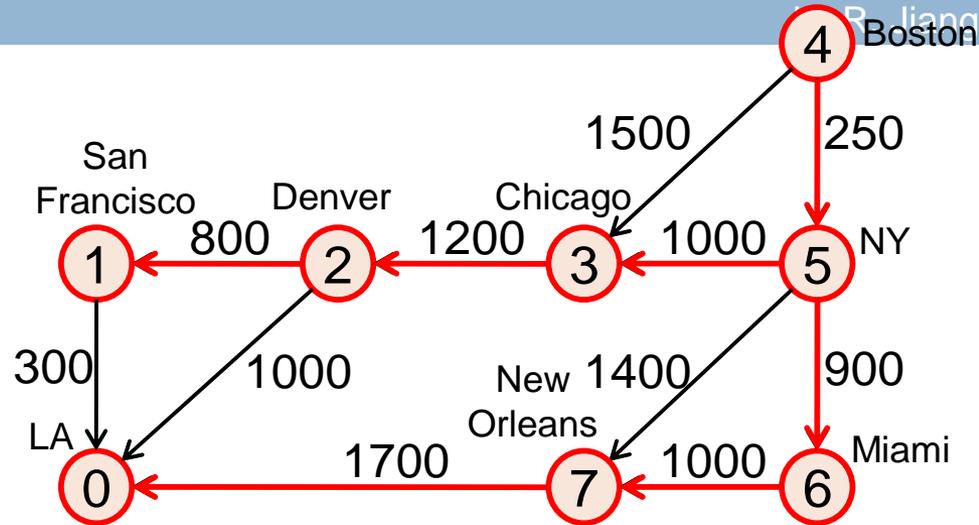
1. **foreach** vertex  $u$  **do**
2.      $\delta[u] = \infty$ ;  $\pi[u] = \text{NIL}$
3.  $\delta[s] = 0$  //  $\delta$ : shortest path length estimate so far
4.  $Q = V$  //  $Q$ : min-priority queue for vertices not in the tree, based on  $\delta[]$
5. **while**  $Q \neq \emptyset$  **do**
6.      $u = \text{ExtractMin}(Q)$  // also remove  $u$  from  $Q$
7.     **foreach** vertex  $v$  in  $\text{Adj}[u]$  **do**
8.         **if**  $v$  in  $Q$  **and**  $\delta[u] + \text{length}[u][v] < \delta[v]$  **then** // shorter path found
9.          $\pi[v] = u$ ;  $\delta[v] = \delta[u] + \text{length}[u][v]$  // decrease key for  $v$  in  $Q$



# Example: Dijkstra's Algorithm

-- Nonnegative edge weights

70	0	1	2	3	4	5	6	7
0	0							
1	300	0				$\infty$		
2	1000	800	0					
3			1200	0				
4				1500	0	250		
5		$\infty$		1000		0	900	1400
6							0	1000
7	1700							0



Iteration	u	$\delta[]$							
		0	1	2	3	4	5	6	7
Initial	4	$+\infty$	$+\infty$	$+\infty$	1500	0	250	$+\infty$	$+\infty$
1	5	$+\infty$	$+\infty$	$+\infty$	1250	0	250	1150	1650
2	6	$+\infty$	$+\infty$	$+\infty$	1250	0	250	1150	1650
3	3	$+\infty$	$+\infty$	2450	1250	0	250	1150	1650
4	7	3350	$+\infty$	2450	1250	0	250	1150	1650
5	2	3350	3250	2450	1250	0	250	1150	1650
6	1	3350	3250	2450	1250	0	250	1150	1650
end	0	3350	3250	2450	1250	0	250	1150	1650

# Dijkstra's Algorithm

-- Textbook version

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- Greedy! Cf. **Prim's** algorithm and **BFS**
- Time complexity:  $O(n^2)$  using Adjacency matrix

```
void Graph::ShortestPath(const int n, const int v) { // vertices: 0..n-1; source: v
// length[i][j]: edge length from i to j; +∞ for non-edge
// dist[j]: shortest path length from v to j found so far
// s[i]: shortest path length determined yet?
```

```
// initialize
```

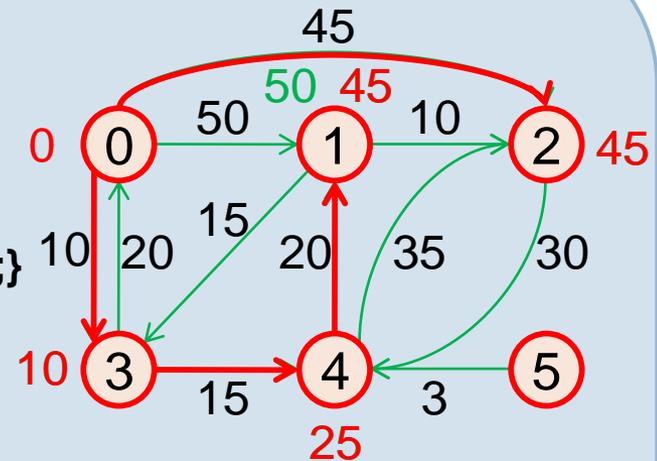
```
for(int i = 0; i < n; i++) {s[i] = false; dist[i] = length[v][i];}
s[v] = true; dist[v] = 0;
```

```
// determine n-1 paths from v
```

```
for(int i = 0; i < n-2; i++) {
    int u = Choose(n); // Choose finds u with min dist[] and false s[] (use min-heap? no)
    s[u] = true;
    for(int w = 0; w < n; w++) // update u's neighbor w where s[w] is false
        if ((! s[w]) && (dist[u] + length[u][w] < dist[w]))
            dist[w] = dist[u] + length[u][w]; // shorter path found
}
```

```
}
```

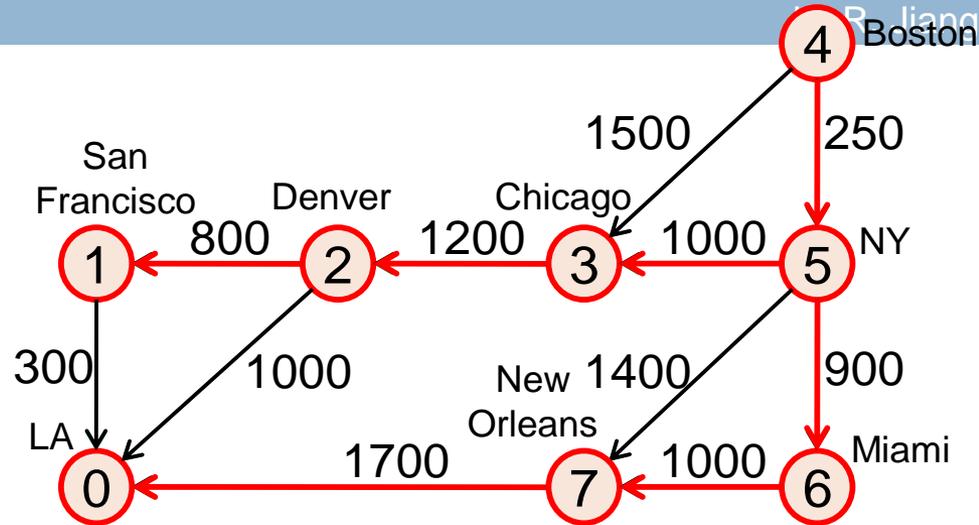
Graphs



# Example: Dijkstra's Algorithm

-- Textbook version

72	0	1	2	3	4	5	6	7
0	0							
1	300	0				$\infty$		
2	1000	800	0					
3			1200	0				
4				1500	0	250		
5		$\infty$		1000		0	900	1400
6							0	1000
7	1700							0



Iteration	s[]=true	u	dist[]							
			0	1	2	3	4	5	6	7
Initial	-	-	$+\infty$	$+\infty$	$+\infty$	1500	0	250	$+\infty$	$+\infty$
1	{4}	5	$+\infty$	$+\infty$	$+\infty$	1250	0	250	1150	1650
2	{4, 5}	6	$+\infty$	$+\infty$	$+\infty$	1250	0	250	1150	1650
3	{4, 5, 6}	3	$+\infty$	$+\infty$	2450	1250	0	250	1150	1650
4	{4, 5, 6, 3}	7	3350	$+\infty$	2450	1250	0	250	1150	1650
5	{4, 5, 6, 3, 7}	2	3350	3250	2450	1250	0	250	1150	1650
6	{4, 5, 6, 3, 7, 2}	1	3350	3250	2450	1250	0	250	1150	1650
end	{4, 5, 6, 3, 7, 2, 1}		3350	3250	2450	1250	0	250	1150	1650

# Prim's vs. Dijkstra's Algorithms

*Prim*( $G, w, r$ )

1. **foreach** vertex  $u$  **do**
2.      $key[u] = \infty; \pi[u] = \text{NIL}$
3.      $key[r] = 0$  // **key**: min weight of any edge connecting to a vertex in the tree
4.      $Q = V$  // **Q**: min-priority queue for vertices not in the tree, based on **key[]**
5. **while**  $Q \neq \emptyset$  **do**
6.      $u = \text{ExtractMin}(Q)$
7.     **foreach** vertex  $v$  in  $\text{Adj}[u]$  **do**
8.         **if**  $v$  in  $Q$  **and**  $w(u, v) < key[v]$  **then** // update key
9.          $\pi[v] = u; key[v] = w(u, v)$

*Dijkstra*( $G, w, v$ ) // source:  $v$

1. **foreach** vertex  $u$  **do**
2.      $\delta[u] = \infty; \pi[u] = \text{NIL}$
3.      $\delta[v] = 0$  //  **$\delta$** : shortest path length estimate so far
4.      $Q = V$  // **Q**: min-priority queue for vertices not in the tree, based on  **$\delta[]$**
5. **while**  $Q \neq \emptyset$  **do**
6.      $u = \text{ExtractMin}(Q)$
7.     **foreach** vertex  $v$  in  $\text{Adj}[u]$  **do**
8.         **if**  $v$  in  $Q$  **and**  $\delta[u] + \text{length}[u][v] < \delta[v]$  **then** // shorter path found
9.          $\pi[v] = u; \delta[v] = \delta[u] + \text{length}[u][v]$

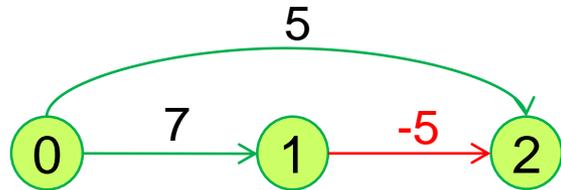
# Single Source/All Destinations

-- General weights

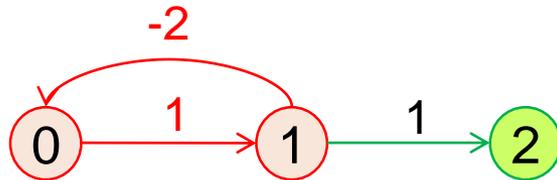
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- **Allow negative edges**



- **Disallow negative cycles**



# Bellman and Ford Algorithm

- If no negative cycles, a shortest path has at most  **$n-1$**  edges
  - ▣ Idea: **induction** on  **$k$  (# of edges)**
- **$dist^k[u]$ : the shortest path length from source  $v$  to  $u$  using **at most  $k$  edges****
- ▣  $dist^k[u] = \min_i \{ dist^{k-1}[u], \min \{ dist^{k-1}[i] + length[i][u] \} \}$
- **Time complexity:  $O(n^3)$**

```
void Graph::BellmanFord(const int n, const int v) { // vertices: 0..n-1; source: v
// length[i][j]: edge length from  $i$  to  $j$ ;  $+\infty$  for non-edge
// dist[i]: shortest path length from  $v$  to  $i$  using  $k$  edges
```

```
// initialize dist1
```

```
    for(int i = 0; i < n; i++) dist[i] = length[v][i];
```

```
// compute distk
```

```
    for(int k = 2; k <= n-1; k++)
```

```
        for(each  $u$  s.t.  $u \neq v$  and  $u$  has at least one incoming edge)
```

```
            for(each incoming edge  $\langle i, u \rangle$ )
```

```
                if( $dist[u] > (dist[i] + length[i][u])$ ) //update  $dist$ 
```

```
                     $dist[u] = dist[i] + length[i][u];$ 
```

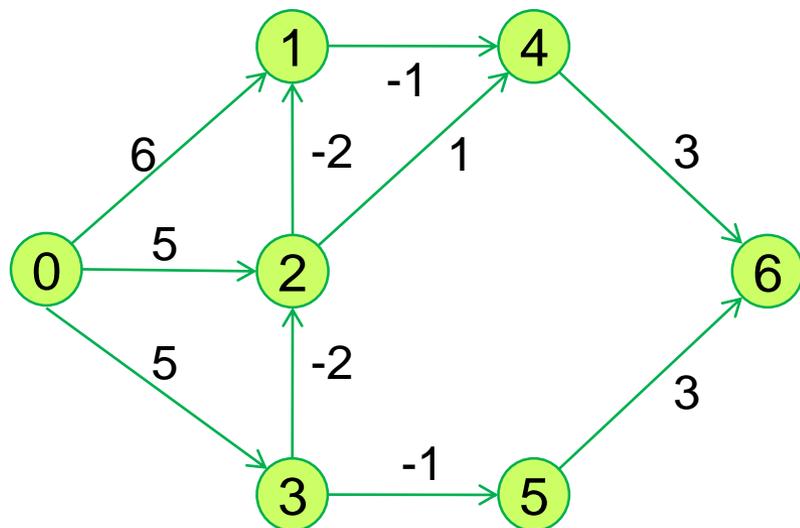
```
}
```

# Example: Bellman and Ford Algorithm

-- General weights

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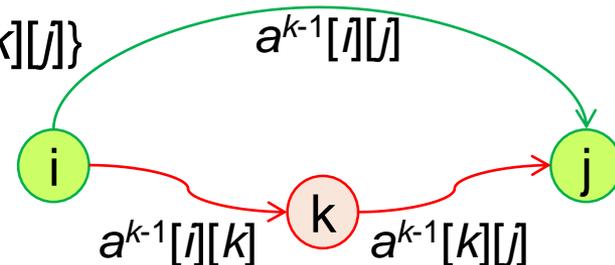
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$k$	$dist^k[0..6]$						
	0	1	2	3	4	5	6
1	0	6	5	5	$+\infty$	$+\infty$	$+\infty$
2	0	3	3	5	5	4	$+\infty$
3	0	1	3	5	2	4	7
4	0	1	3	5	0	4	5
5	0	1	3	5	0	4	3
6	0	1	3	5	0	4	3

# All-Pairs Shortest Paths

- Run  $n$  independent single-source/all-destinations problems?
  - Each iteration, choose a different vertex as source
- Better idea?
- Define  $a^k[i][j]$  as the shortest path length from  $i$  to  $j$  going through only vertices of index  $\leq k$ 
  - $\Rightarrow$  Goal: find  $a^{n-1}[i][j]$  (vertices:  $0..n-1$ )
  - $a^{-1}[i][j] = \text{length}[i][j]$
  - $a^k[i][j] = \min\{a^{k-1}[i][j], a^{k-1}[i][k] + a^{k-1}[k][j]\}$



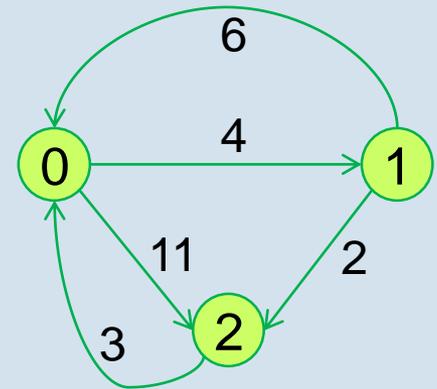
# All-Pairs Shortest Path Algorithm

```

void Graph::AllLengths(const int n) { // vertices: 0..n-1
// length[i][j]: edge length from i to j; +∞ for non-edge
// ak[i][j]: shortest path length from i to j using only vertices of index <= k

// initialize a1
for(int i = 0; i < n; i++)
    for(int j = 0; j < n; j++)
        a[i][j] = length[i][j];
// compute ak[i][j]
for(int k = 0; k < n; k++)
    for(int i = 0; i < n; i++)
        for(int j = 0; j < n; j++)
            if(a[i][j] > (a[i][k] + a[k][j])) //update a[i][j] using k
                a[i][j] = a[i][k] + a[k][j];
}
    
```

Time complexity:  $O(n^3)$

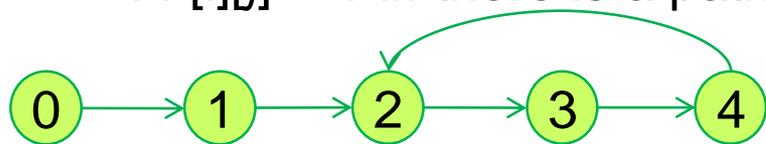


$a^{-1}$	0	1	2	$a^0$	0	1	2	$a^1$	0	1	2	$a^2$	0	1	2
0	0	4	11	0	0	4	11	0	0	4	6	0	0	4	6
1	6	0	2	1	6	0	2	1	6	0	2	1	5	0	2
2	3	$+\infty$	0	2	3	7	0	2	3	7	0	2	3	7	0

Graphs

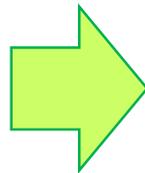
# Transitive Closure

- Given a digraph  $G$  with unweighted edges, determine if there is a path from vertex  $i$  to vertex  $j$ 
  - Positive length: **transitive closure** (exclude itself)
    - $A^+[i][j] = 1$  iff there is a path of length  $> 0$  from  $i$  to  $j$
  - Non-negative length: **reflexive transitive closure** (include itself)
    - $A^*[i][j] = 1$  iff there is a path of length  $\geq 0$  from  $i$  to  $j$



	0	1	2	3	4
0	0	1	0	0	0
1	0	0	1	0	0
2	0	0	0	1	0
3	0	0	0	0	1
4	0	0	1	0	0

Adjacency matrix



	0	1	2	3	4
0	0	1	1	1	1
1	0	0	1	1	1
2	0	0	1	1	1
3	0	0	1	1	1
4	0	0	1	1	1

$A^+$

	0	1	2	3	4
0	1	1	1	1	1
1	0	1	1	1	1
2	0	0	1	1	1
3	0	0	1	1	1
4	0	0	1	1	1

$A^*$

# How?

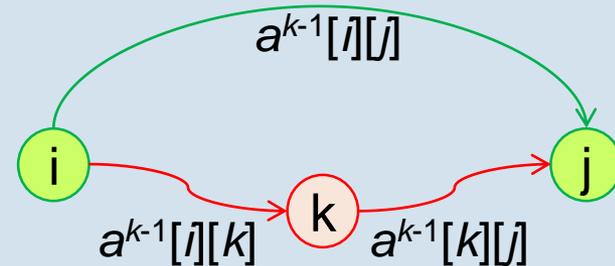
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- **Modify all-pairs shortest paths**
  - $length[i][j]$ : length to adjacency
  - $a[i][j]$ : update like answering yes/no

```
void Graph::TransitiveClosure(const int n) { // vertices: 0..n-1
//  $length[i][j]$ : adjacency between  $i$  and  $j$ 
//  $a^k[i][j]$ : has path from  $i$  to  $j$  using only vertices of index  $\leq k$ 

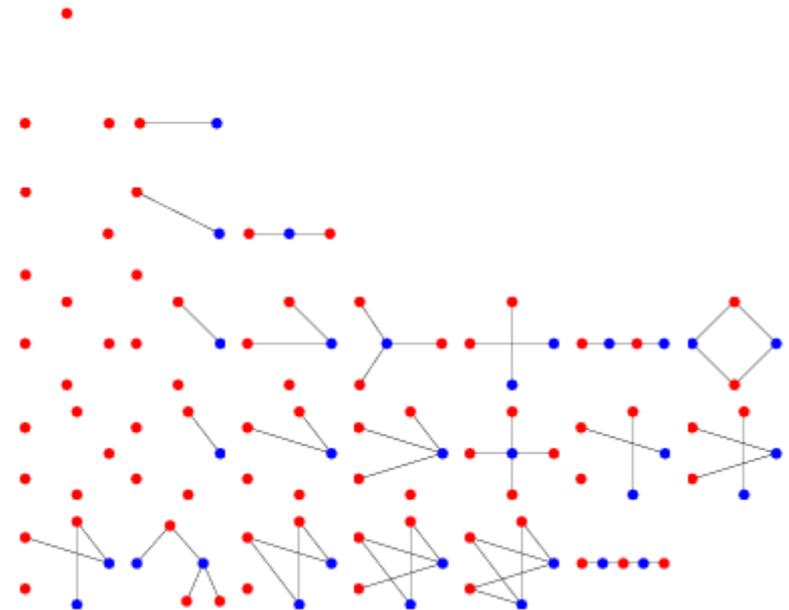
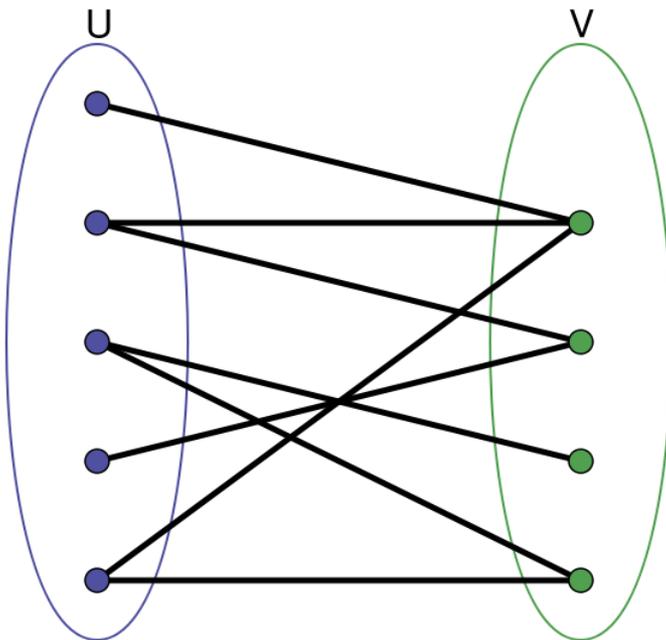
// initialize  $a^{-1}$ 
for(int i = 0; i < n; i++)
    for(int j = 0; j < n; j++)
        a[i][j] = length[i][j];
// compute  $a^k[i][j]$ 
for(int k = 0; k <= n; k++)
    for(int i = 0; i < n; i++)
        for(int j = 0; j < n; j++)
            a[i][j] = a[i][j] || (a[i][k] && a[k][j]);
}
```





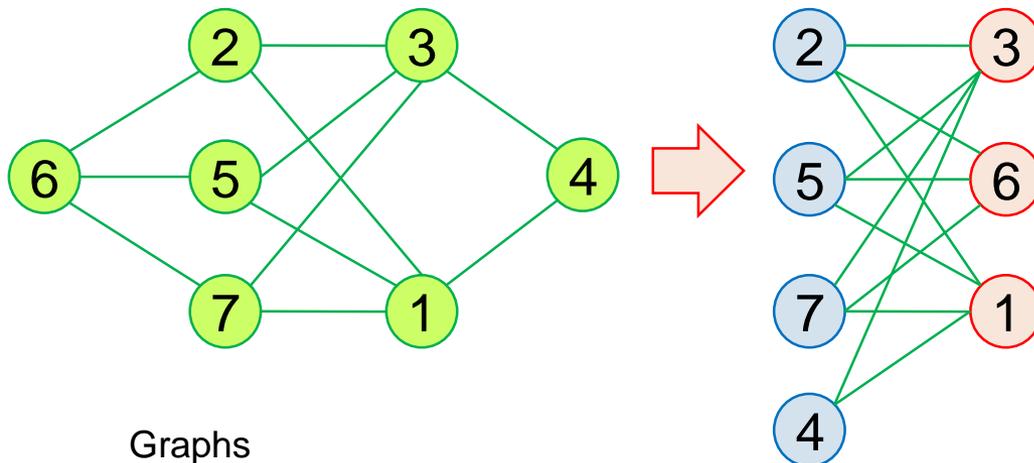
# Bipartite Graphs

- A **bipartite graph** (bigraph) is a graph whose vertices can be divided into two **disjoint sets** such that no two vertices within the same set are adjacent
  - Equivalently, a bipartite graph is a graph that does not contain any odd-length cycles



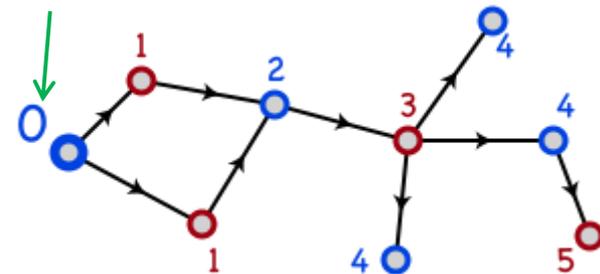
# Testing Bipartiteness

- For a connected bigraph, its bipartition can be defined by the **parity** of the distances from any arbitrarily chosen vertex  $v$ 
  - One subset consists of the vertices at **even** distance to  $v$
  - The other subset consists of the vertices at **odd** distance to  $v$
- **How?**
  1. Encode the distance (parity) to each vertex
  2. Examine each edge to verify if its endpoints located at different subsets
- **Q: How to encode the distance?**



Graphs

Arbitrarily  
chosen  
vertex



# The Marriage Problem and Matching

- Maximum cardinality matching

  - Perfect matching

    - Every one gets married

      - Ms./Mr. right? No!

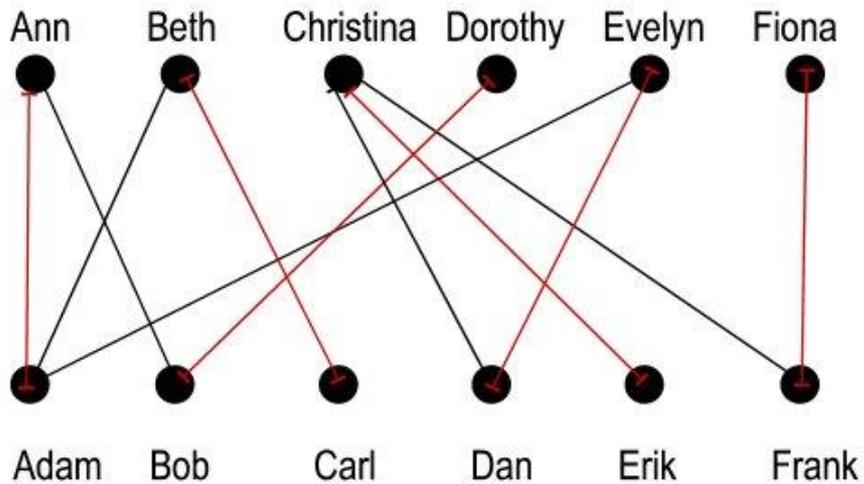
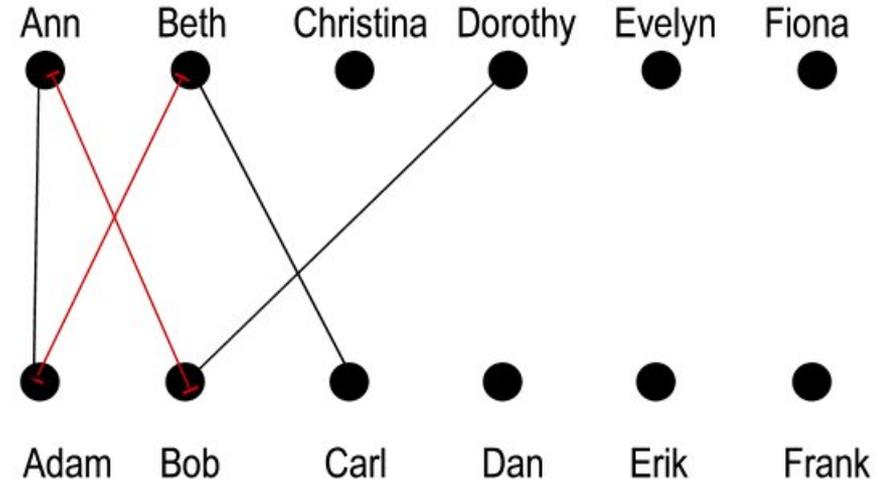
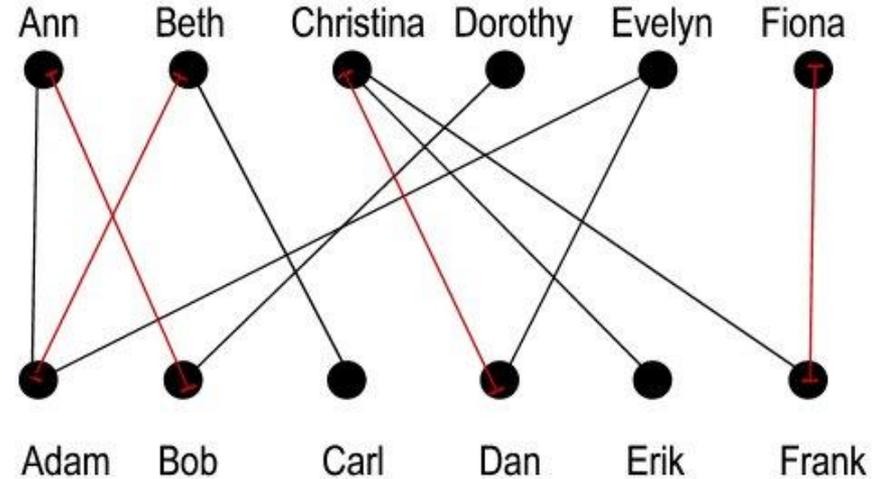


Figure 2 A perfect matching

Graphs



# The Stable Matching

- **Perfect or stable?**
- **Perfect matching: everyone gets married**
  
- **Stable matching: perfect matching with no unstable pairs**
  - Unmatched pair  $m$ - $w$  is unstable if  
man  $m$  and woman  $w$  prefer each other to current partners
- **The stable marriage problem:**
  - (Input) Given the preference lists of  $n$  men and  $n$  women,
  - (Output) find a stable matching if one exists
  
- **Propose-and-reject algorithm [Gale-Shapley 1962]**
  - Intuitive method that guarantees to find a stable matching
  - Male-optimal