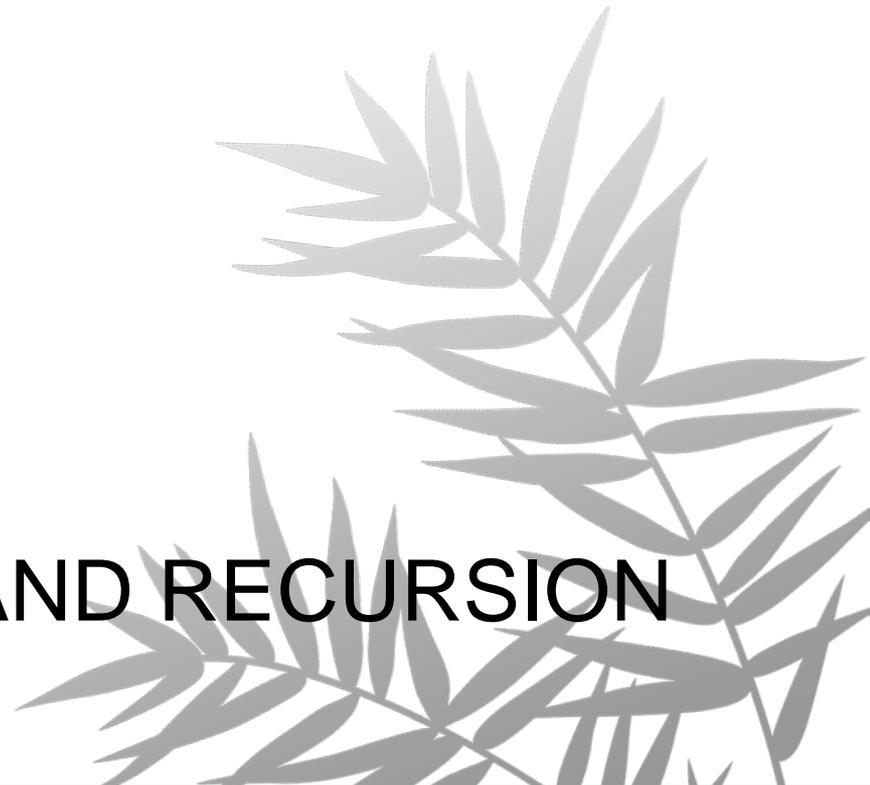




國立交通大學電子工程學系

CHAPTER 4

INDUCTION AND RECURSION



Outline

2

IRIS H.-R. JIANG

- **Content**
 - ▣ Mathematical induction
 - ▣ Strong induction and well-ordering
 - ▣ Recursive definitions and structural induction
 - ▣ Recursive algorithms
 - ▣ Program correctness
- **Reading**
 - ▣ Chapter 4

Mathematical Induction

3

IRIS H.-R. JIANG

- The first domino falls.
- If a domino falls,
so will the next domino.
- **All dominoes will fall!**



*To see the world in a grain of sand,
And heaven in a wild flower,
Hold infinity in the palm of your hand,
And eternity in an hour.*

- William Blake

Mathematical Induction

- Given the propositional $P(n)$ where $n \in \mathbb{N}$, a proof by **mathematical induction** is of the form:
 1. **Basis step**: The proposition $P(0)$ is shown to be true.
 2. **Inductive step**: the implication $P(k) \rightarrow P(k+1)$ is shown to be true for every $k \in \mathbb{N}$

- In the inductive step, the statement $P(k)$ is called **the inductive hypothesis**

- $(P(0) \wedge \forall k(P(k) \rightarrow P(k+1))) \rightarrow \forall nP(n)$

Example 1

- **Show that the sum of the first n odd positive integers is n^2**
- **Pf:**
 - ▣ Basis step: $P(1)$: the sum of the first one odd integer = $1 = 1^2$
 - ▣ Inductive step: Assume $P(k)$ is true; we shall claim $P(k+1)$ is true.
 $(1 + 3 + 5 + \dots + (2k-1)) + (2k+1) = k^2 + 2k + 1 = (k+1)^2$
Hence, $P(k+1)$ is true
- **Note that the starting point is **not necessary to be 0****

Example 2

- **Show that $n < 2^n$ for all positive integers**
- **Pf:**
 - ▣ Basis step: $P(1)$: $1 < 2^1 = 2$
 - ▣ Inductive step: Assume $P(k)$ is true, i.e., $k < 2^k$. We would like to show $P(k+1)$ is true.
Then $k+1 < 2^{k+1} < 2^k + 2^k = 2^{k+1}$. Hence, $P(k+1)$ is true

Example 3

- **Prove $n^3 - n$ can be divided by 3 where $n \in \mathbb{Z}^+$**
- **Pf:**
 - ▣ Basis step: $P(1)$: $1^3 - 1 = 0$, which can be divided by 3
 - ▣ Inductive step: Assume $P(k)$ is true, i.e., $3 \mid (k^3 - k)$. We would like to show $P(k+1)$.
 - ▣ $(k+1)^3 - (k+1) = (k^3 + 3k^2 + 3k + 1) - (k+1) = (k^3 - k) + 3(k^2 + k)$, which can be divided by 3. Hence $P(k+1)$ is true

Example 4

- **Prove $2^0 + 2^1 + 2^2 + \dots + 2^n = 2^{n+1} - 1$ where $n \in \mathbf{N}$**
- **Pf:**
 - Basis step: $P(0)$: $2^0 = 1 = 2^{0+1} - 1$
 - Inductive step: Assume $P(k)$ is true, then we will show $P(k+1)$ is true.
 - $(2^0 + 2^1 + 2^2 + \dots + 2^k) + 2^{k+1} = (2^{k+1} - 1) + 2^{k+1} = 2^{k+2} - 1$. Hence, $P(k+1)$ is true

Example 5

- **Prove $1+2+3+\dots+n = n(n+1)/2$, $n \in \mathbb{Z}^+$**
- **Pf:**
 - ▣ Basis step: $P(1)$: $1 = 1(1+1)/2$
 - ▣ Inductive step: Assume $P(k)$ is true, i.e., $1+2+\dots+k = k(k+1)/2$, then we shall claim $P(k+1)$ is true.
 $(1+2+\dots+k) + (k+1) = k(k+1)/2 + (2k+2)/2 = (k^2+3k+2)/2 = (k+1)(k+2)/2$. Hence, $P(k+1)$ is true

Example 6

- **Prove $2^n < n!$ for every positive integer ≥ 4**
- **Pf:**
 - Basis step: $P(4)$: $2^4 = 16 < 4! = 24$
 - Inductive step: Assume $P(k)$ is true, i.e., $2^k < k!$, then we shall claim $P(k+1)$ is true.
 - $2^{k+1} = 2 \cdot 2^k < 2 \cdot k! < (k+1) \cdot k! = (k+1)!$. Hence, $P(k+1)$ is true

- **Again, not starting from 1**

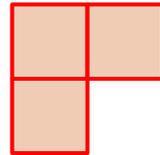
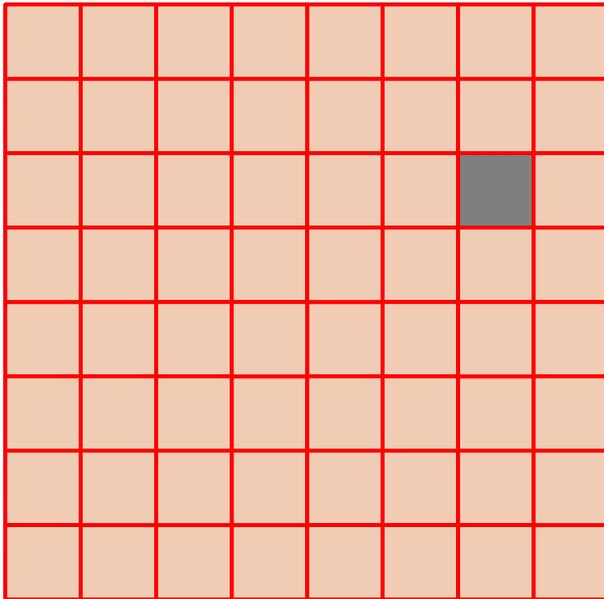
Example 7

- **Show that if S is a finite set with n elements, then 2^S has 2^n subsets.**
- **Pf:**
 - Let $P(n)$ be the proposition “a set with n elements has 2^n subsets”
 - We use mathematical induction to prove $P(n)$ is true for all n .
 1. Basis step:
 - $P(0)$ is true. Clearly, the empty set has only one ($= 2^0$) subset.
 2. Inductive step:
 - Assume $P(k)$ is true. We would like to show $P(k+1)$ is true.
 - Let T be a set of $k+1$ elements.

Without loss of generality, we may assume $T = \{a_0, a_1, \dots, a_k\}$. Then $T' = \{a_0, a_1, \dots, a_{k-1}\}$ is a set of k elements and $T = T' \cup \{a_k\}$
 - By inductive hypothesis, T' has 2^k subsets. Then any subset of T is either X or $\{a_k\} \cup X$ for some subset X of T' . Hence the number of subsets of $T = 2 \cdot 2^k = 2^{k+1}$.

Example 8: A Defective Chessboard

- Any 8×8 defective chessboard can be covered with twenty-one triominoes
- Q: How?



Triomino

Example 8: A Defective Chessboard

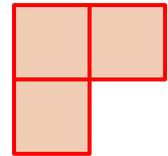
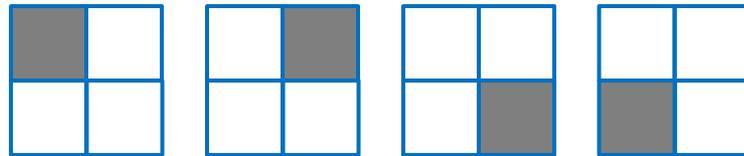
- Any 8×8 defective chessboard can be covered with twenty-one triominoes
- Any $2^n \times 2^n$ defective chessboard can be covered with $\frac{1}{3}(2^n \times 2^n - 1)$ triominoes
- Prove by **mathematical induction!**

Example 8: Proof by Mathematical Induction

- Any $2^n \times 2^n$ defective chessboard can be covered with $\frac{1}{3}(2^n \times 2^n - 1)$ triominoes

- Basis step:

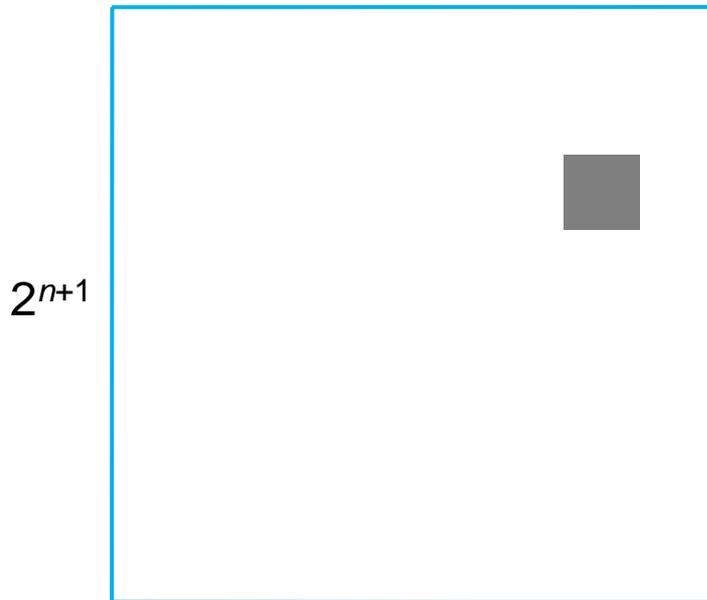
$n=1$



Triomino

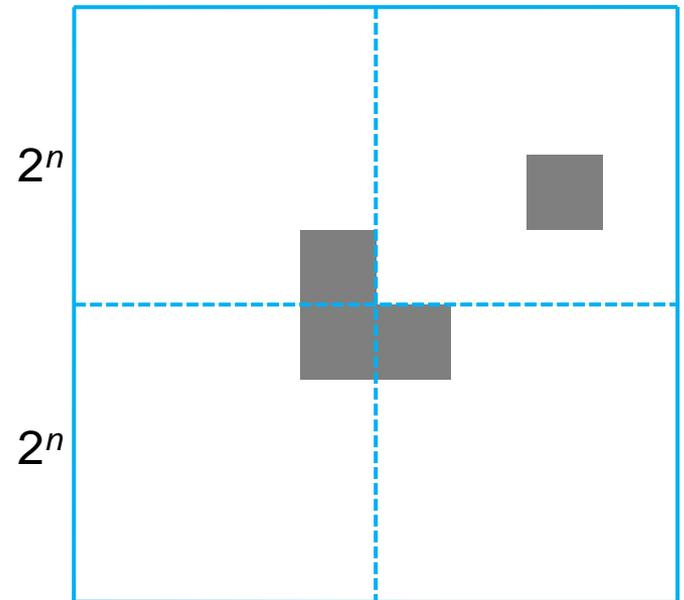
- Inductive step:

2^{n+1}



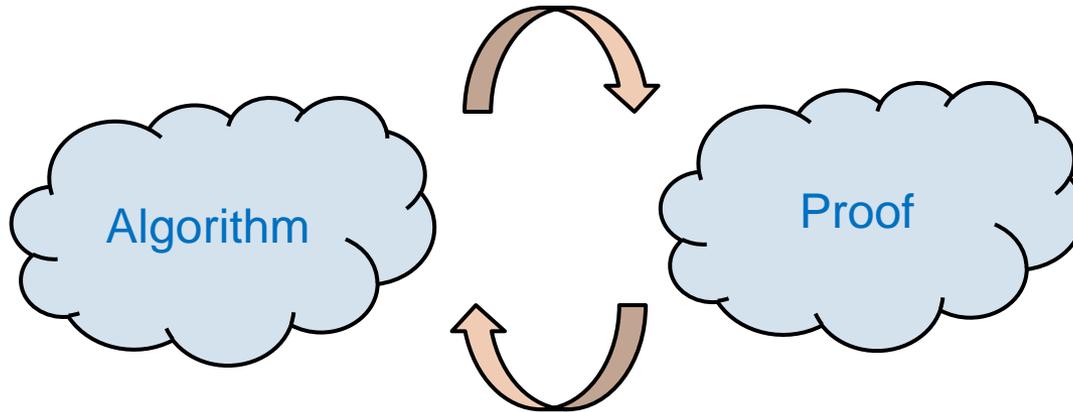
2^n

2^n



Example 8: Proof vs. Algorithm

- **Based on the defective chessboard, we can see**



Strong Induction

- Given the propositional $P(n)$ where $n \in \mathbb{N}$, a proof by second principle of **mathematical induction** (or **strong induction**) is of the form:
 1. **Basis step**: The proposition $P(0)$ is shown to be true.
 2. **Inductive step**: the implication $[P(0) \wedge P(1) \wedge \dots \wedge P(k)] \rightarrow P(k+1)$ is shown to be true for every $k \in \mathbb{N}$

- Two forms of mathematical induction are **equivalent**

Example 1

- **Show that if n is an integer > 1 , then n can be written as the product of primes.**
- **Pf:**
 - ▣ Basis step: $n = 2$
 - ▣ Inductive step:
 - Assume the proposition is true for $n = 2 \sim k$.
 - We shall claim that the proposition is true for $n = k + 1$.
 - If $k + 1$ is a prime, we are done!
 - If $k + 1$ is composite, then $(k + 1) = a * b$,
where $2 \leq a, b < k + 1$,
and a, b can be written as the products of primes.

Example 2

- **Let $n \in \mathbf{N}$ and $n \geq 12$. Show that $n = 4i + 5j$ for some $i, j \in \mathbf{N}$.**
- **Pf:**
 - ▣ Let $P(n)$ be the proposition “ $n = 4i + 5j$ for some $i, j \in \mathbf{N}$.”
 - ▣ We use strong induction to show that $P(n)$ holds for $n \geq 12$.
 - ▣ Basis step: $P(12)$, $P(13)$, $P(14)$, $P(15)$ are easy to verify: $12 = 4 \bullet 3$; $13 = 4 \bullet 2 + 5$; $14 = 4 + 5 \bullet 2$; $15 = 5 \bullet 3$
 - ▣ Inductive step: Let $k \geq 15$. Assume $P(j)$ is true for $12 \leq j \leq k$.
 - ▣ Then $k + 1 = (k - 3) + 4$. By inductive hypothesis, $k - 3 = 4i + 5j$ for some $i, j \in \mathbf{N}$. Hence $k + 1 = 4(i + 1) + 5j$.
- **Remark. Can you prove the statement for $n \geq 4$? If not, why?**

The Well-Ordering Property

- **Well-ordering: a strict total order**

- **Let S be a set. We say S is well-ordered if there is a relation $<$ defined over S such that**
 - For any $a, b \in S$, either $a = b$, $a < b$ or $b < a$
 - For any $a, b, c \in S$, if $a < b$ and $b < c$, $a < c$
 - Every nonempty subset of S has a **least** element (in terms of $<$)

- **We call $\mathfrak{3}$ the **well-ordering property****

- **For instance, \mathbb{N} and \mathbb{Z}^+ are well-ordered. But \mathbb{Z} and \mathbb{Q}^+ are not. (Why?)**

Math. Induction vs. Well-Ordering

- **Well-ordering → Math induction**
 - ▣ The validity of math induction depends on the well-ordering property.
 - ▣ Let us write the mathematical induction formally:
$$(P(0) \wedge \forall k(P(k) \rightarrow P(k+1))) \rightarrow \forall nP(n), n \in \mathbb{N}$$
- **Pf:**
 - ▣ Suppose $\neg \forall nP(n)$. Let $S = \{m \mid \neg P(m)\}$, $S \subseteq \mathbb{N}$.
 - ▣ By our assumption, $S \neq \emptyset$. Hence S has a least element m_0 by the well-ordering property.
 - ▣ If $m_0 = 0$, we are done. (Why?)
 - ▣ On the other hand, $m_0 > 0$. Hence $m_0 - 1 \geq 0$ and $P(m_0 - 1)$ is true by the choice of m_0 . Therefore, $P(m_0 - 1) \rightarrow P(m_0)$ is false. We conclude our proof.
- **Q: Can you prove the converse? That is, math. induction → well-ordering**

Recursion

- **Sometimes it is difficult to define an object explicitly**
 - We will see examples later
- **However, it may be easier to define this objects in terms of itself**
 - This process is called **recursion**

- **Recursive functions: 2 steps to define a recursive function with \mathbf{N} as its domain**
 - Basis step (boundary conditions)
 - Specify value of the function at 0, i.e., $f(0)$
 - Recursive step
 - Give a rule for finding $f(n)$, $n > 1$, from values at smaller integers m where $m < n$

Example 1

- **f is defined recursively by**
 - $f(0) = 3$ // basis step
 - $f(n+1) = 2f(n) + 3, n \in \mathbf{N}$

- **Find $f(1) \sim f(4)$**
 - $f(1) = 2f(0)+3=9$
 - $f(2) = 2f(1)+3=21$
 - $f(3) = 2f(2)+3=45$
 - $f(4) = 2f(3)+3=93$

Example 2

- **Give a recursive definition of the factorial function $F(n) = n!$**
- **Ans:**
 - ▣ Basis step
 - $F(0) = 1$ // by definition
 - ▣ Recursive step
 - $F(n+1) = (n+1)F(n), n \in \mathbf{N}$

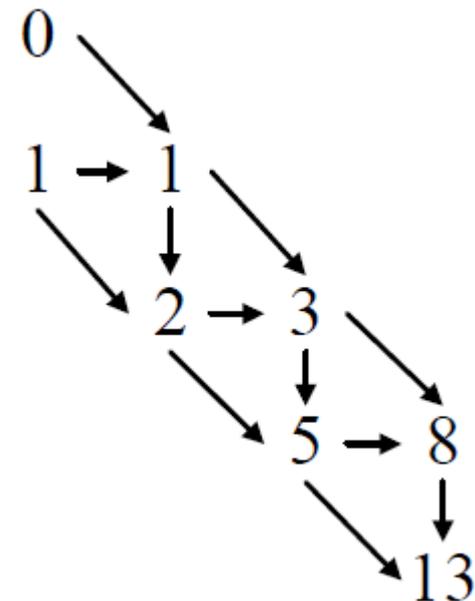
Example 3

- Give a recursive definition of a^n , where a is a nonzero real and $n \in \mathbf{N}$
- Ans:
 - Basis step
 - $a^0 = 1$ // by definition
 - Recursive step
 - $a^{n+1} = a * a^n, n \in \mathbf{N}$

Example 4: Fibonacci Sequence (1/2)

- In some recursive definitions
 - ▣ The function values of the **first k** integers are specified
 - ▣ A rule is given to determine the values of larger integers

- The Fibonacci numbers, f_0, f_1, \dots , are defined as
 - ▣ Basis step
 - $f_0 = 0$
 - $f_1 = 1$ // **two** initial terms are defined
 - ▣ Recursive step
 - $f_n = f_{n-1} + f_{n-2}, n = 2, 3, 4, \dots$
 - ▣ Sequence: 0, 1, 1, 2, 3, 5, 8, 13, 21, ...



Example 4: Fibonacci Sequence (2/2)

- **Show that whenever $n \geq 3$, $f_n > \alpha^{n-2}$, where $\alpha = (1 + \sqrt{5}) / 2$**
- **Pf:**
 - **Basis step**
 - $f_3 = 2 > \alpha$
 - $f_4 = 3 > \alpha^2 = (3 + \sqrt{5}) / 2$
 - **Inductive step**
 - Assume $f_j > \alpha^{j-2}$ for all j with $3 \leq j \leq k$, where $k \geq 4$
 - Based on a fact: $\alpha^2 = \alpha + 1$ (α is a root of $x^2 - x - 1 = 0$),
$$\alpha^{k-1} = \alpha^2 \alpha^{k-3} = (\alpha + 1) \alpha^{k-3} = \alpha^{k-2} + \alpha^{k-3}$$
 - $f_{k+1} = f_k + f_{k-1} > \alpha^{k-2} + \alpha^{k-3} = \alpha^{k-1}$

Example 5: Recursively Defined Sets

- **A set can also be defined recursively**
- **E.g.,**
 - **Basis step**
 - $3 \in S$
 - **Recursive step**
 - If $x \in S$ and $y \in S$, then $x + y \in S$
 - **Result**
 - $S = \{3, 6, 9, 12, \dots\}$

Example 6: String

- An alphabet Σ is a set of symbols
- A **string** over an alphabet Σ is a **finite sequence** of symbols from Σ
 - ▣ The empty string, denoted as λ , is a string containing no symbols
- **E.g.**,
 - ▣ English string ($\Sigma = \{a, A, b, B, \dots\}$)
 - discrete, NCTU
 - ▣ Binary string ($\Sigma = \{0, 1\}$)
 - 01100010

Example 6: The Set of Strings

- **The set Σ^* of strings over the alphabet Σ can be defined recursively by**
 - Basis step:
 - $\lambda \in \Sigma^*$
 - Recursive step:
 - If $w \in \Sigma^*$ and $x \in \Sigma$, then $wx \in \Sigma^*$
 - w : string; x : symbol

- **Example – binary string, $\Sigma = \{0, 1\}$**
 - Basis step: empty string λ
 - Recursive step: $\Sigma^* = \{ \lambda, 0, 1, 00, 01, 10, 11, \dots \}$

Example 6: String Concatenation

- **Two strings can be combined via the operation of **concatenation**, denoted by \cdot .**
 - **Basis step:**
 - If $w \in \Sigma^*$, then $w \cdot \lambda = w$
 - **Recursive step:**
 - If $w_1, w_2 \in \Sigma^*$, and $x \in \Sigma$, then $w_1 \cdot (w_2x) = (w_1 \cdot w_2)x$
- **E.g.,**
 - If $w_1 = abc$, $w_2 = def$, then $w_1 \cdot w_2 = abcdef$

Example 6: Length of a String

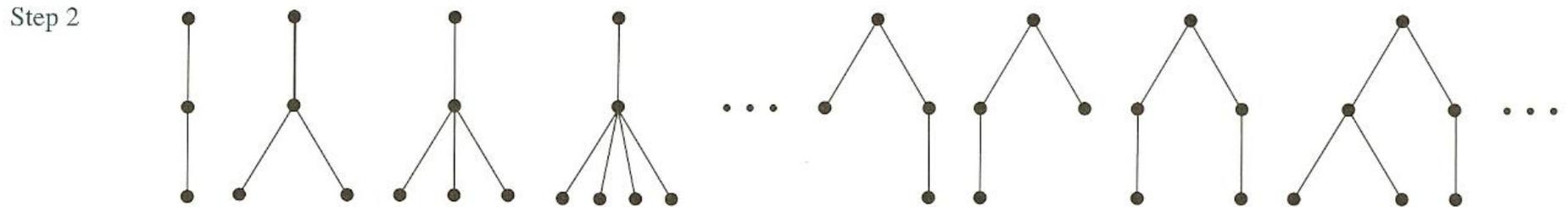
- **Give a recursive definition of $l(w)$, the length of the string w**
 - ▣ Basis step:
 - $l(\lambda) = 0$ // by definition
 - ▣ Recursive step:
 - $l(wx) = l(w) + 1$, where $w \in \Sigma^*$ and $x \in \Sigma$

Example 7: Rooted Trees (1/2)

- **A rooted tree consists of**
 - ▣ A set of vertices containing a distinguished vertex called the **root**
 - ▣ And a set of edges connecting these vertices
- **The set of rooted trees can be recursively defined**
 - ▣ Basis step:
 - A **single** vertex r is a rooted tree
 - ▣ Recursive step:
 - Suppose that T_1, T_2, \dots, T_n are disjoint rooted trees with roots r_1, r_2, \dots, r_n . Then the graph formed by starting with a root r , which is not in T_1, T_2, \dots, T_n , and adding an edge from r to r_1, r_2, \dots, r_n , is also a rooted tree

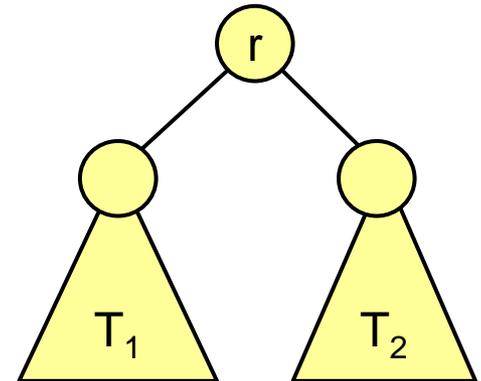
Example 7: Rooted Trees (2/2)

Basis step ●



Example 8: Binary Trees (1/2)

- **The set of extended binary trees can be recursively defined**
 - ▣ Basis step:
 - The **empty** set is an extended binary tree
 - ▣ Recursive step:
 - If T_1 and T_2 are disjoint extended binary trees, there is an extended binary tree, denoted by $T_1 \cdot T_2$, consisting of a root r together with edges connecting the root to each of the roots of the **left** subtree T_1 and the **right** subtree T_2



Example 8: Binary Trees (2/2)

Basis step ϕ

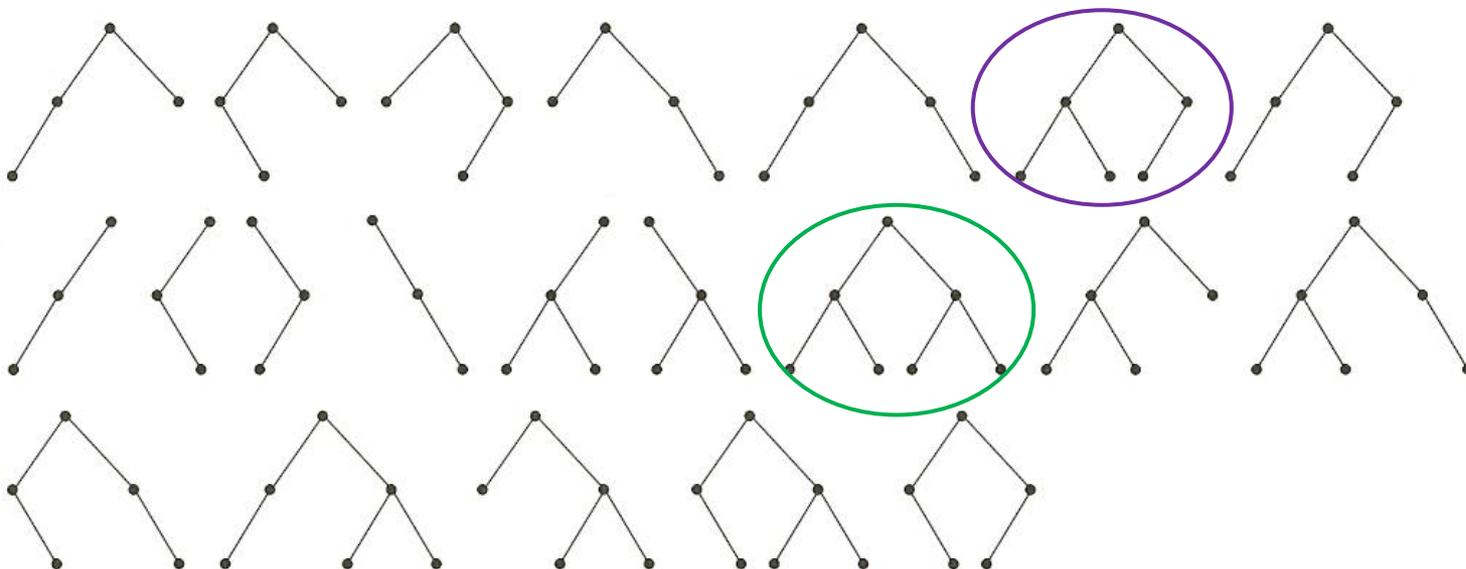
Step 1 \bullet

Step 2



A **full binary tree** is a tree in which every node other than the leaves has two children.
A **complete binary tree** is a binary tree in which every level, **except possibly the last**, is completely filled, and all nodes are as far left as possible.

Step 3



Example 8: Height of a Binary Tree

- **The height $h(T)$ of a nonempty binary tree T can be defined recursively**
 - ▣ **Basis step:**
 - The height of the binary tree T consisting of only a root r is $h(T) = 0$
 - ▣ **Recursive step:**
 - If T_1 and T_2 are binary trees, then the binary tree $T = T_1 \cdot T_2$ has height $h(T) = 1 + \max(h(T_1), h(T_2))$

Example 8: Vertices in a Binary Tree

- **The number of vertices $n(T)$ in a nonempty binary tree T can be found recursively**
 - ▣ Basis step:
 - $n(T)$ of the binary tree T consisting of only a root r is 1
 - ▣ Recursive step:
 - If T_1 and T_2 are binary trees, then the number of vertices in the binary tree $T = T_1 \cdot T_2$ is
$$n(T) = 1 + n(T_1) + n(T_2)$$

Structural Induction

- **Structural induction can be used to prove results about recursively defined sets**
- **A proof by structural induction consists of 2 parts**
 - ▣ Basis step: show that the results holds for all elements specified in the basis step
 - ▣ Recursive step: show that if the **statement** is true for each of the elements used to construct new elements in the recursive step, then the results holds for these new elements

Example 6: Strings

- **Assume that $P(w)$ is a propositional function over the set of strings $w \in \Sigma^*$, we need to**
 - ▣ Basis step: show that $P(\lambda) = \text{true}$
 - ▣ Recursive step: Assume that $P(w)$ is true where $w \in \Sigma^*$, show that if $x \in \Sigma$, then $P(wx)$ must also be true

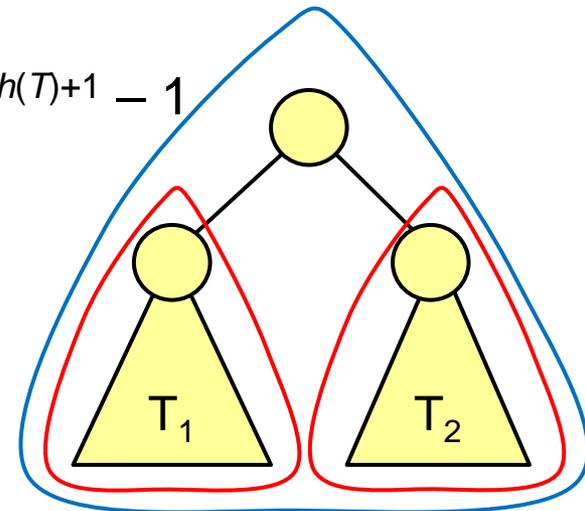
- **Use structural induction to prove that $l(xy) = l(x) + l(y)$ where x and $y \in \Sigma^*$**
 - ▣ Let $P(y)$ be the statement that $l(xy) = l(x) + l(y)$
 - ▣ Basis step: show that $P(\lambda)$ is true
 - $l(x\lambda) = l(x) = l(x) + 0 = l(x) + l(\lambda)$
 - ▣ Recursive step:
 - Assume $l(xy) = l(x) + l(y)$ and $a \in \Sigma$, then $l(xya) = l(xy)+1 = l(x) + l(y) + 1 = l(x) + l(ya)$

Example 8: Binary Trees (1/2)

- **To prove a result about binary trees using structural induction**
 - ▣ Basis step:
 - Show that the result is true for the tree consisting of a single vertex
 - ▣ Recursive step:
 - Show that if the result is true for T_1 and T_2 , then it must be true for tree $T_1 \cdot T_2$ consisting of a root r

Example 8: Binary Trees (2/2)

- Show that if T is a nonempty binary tree, then $n(T) \leq 2^{h(T)+1} - 1$
- Pf:
 - ▣ Basis step:
 - For the binary tree T consisting of only a root, $n(T) = 1 \leq 2^{0+1} - 1$
 - ▣ Recursive step:
 - Assume $n(T_1) \leq 2^{h(T_1)+1} - 1$ and $n(T_2) \leq 2^{h(T_2)+1} - 1$,
then $n(T) = 1 + n(T_1) + n(T_2)$
 $\leq 1 + (2^{h(T_1)+1} - 1) + (2^{h(T_2)+1} - 1)$
 $\leq 2 * \max(2^{h(T_1)+1}, 2^{h(T_2)+1}) - 1$
 $= 2 * 2^{\max(h(T_1), h(T_2))+1} - 1 = 2 * 2^{h(T)} - 1 = 2^{h(T)+1} - 1$



Recursive Algorithms

- An algorithm is called recursive if it solves a problem by reducing it to an instance of the same problem with **smaller** input
- E.g., give a recursive algorithm to compute a^n where a is a nonzero real and n is a nonnegative integer
 - $a^n = a \cdot a^{n-1}$

ALGORITHM 1 A Recursive Algorithm for Computing a^n .

```
procedure power( $a$ : nonzero real number,  $n$ : nonnegative integer)
if  $n = 0$  then  $power(a, n) := 1$ 
else  $power(a, n) := a \cdot power(a, n - 1)$ 
```

Example 1: Recursive Algorithm for GCD

- Give a recursive algorithm to compute $\text{gcd}(a, b)$ where a and b are 2 nonnegative integers with $a < b$
 - ▣ $\text{gcd}(a, b) = \text{gcd}(a, b-a)$

// Recursive algorithm

```
procedure gcd(a, b: nonnegative integers with  $a < b$ )  
  if  $a = 0$  then  $\text{gcd}(a, b) := b$   
  else  $\text{gcd}(a, b) := \text{gcd}(b \bmod a, a)$ 
```

// Iterative algorithm

```
procedure gcd(a, b: positive integers)  
  while  $b \neq 0$   
     $r := a \bmod b; a := b; b := r$   
   $\text{gcd}(a, b) := a$ 
```

Example 3: Linear Search

- Search for x in the sequence a_1, a_2, \dots, a_n
- Develop $\text{search}(i, j, x)$ to search for x in the sequence a_i, \dots, a_j

ALGORITHM 4 A Recursive Linear Search Algorithm.

```
procedure search( $i, j, x$ )  
if  $a_i = x$  then  
    location :=  $i$   
else if  $i = j$  then  
    location := 0  
else  
    search( $i + 1, j, x$ )
```

Example 4: Recursive Binary Search

ALGORITHM 5 A Recursive Binary Search Algorithm.

```
procedure binary search( $x, i, j$ )  
 $m := \lfloor (i + j) / 2 \rfloor$   
if  $x = a_m$  then  
     $location := m$   
else if ( $x < a_m$  and  $i < m$ ) then  
    binary search( $x, i, m - 1$ )  
else if ( $x > a_m$  and  $j > m$ ) then  
    binary search( $x, m + 1, j$ )  
else  $location := 0$ 
```

Example 5: Recursive and Iterative Factorial

ALGORITHM 6 A Recursive Procedure for Factorials.

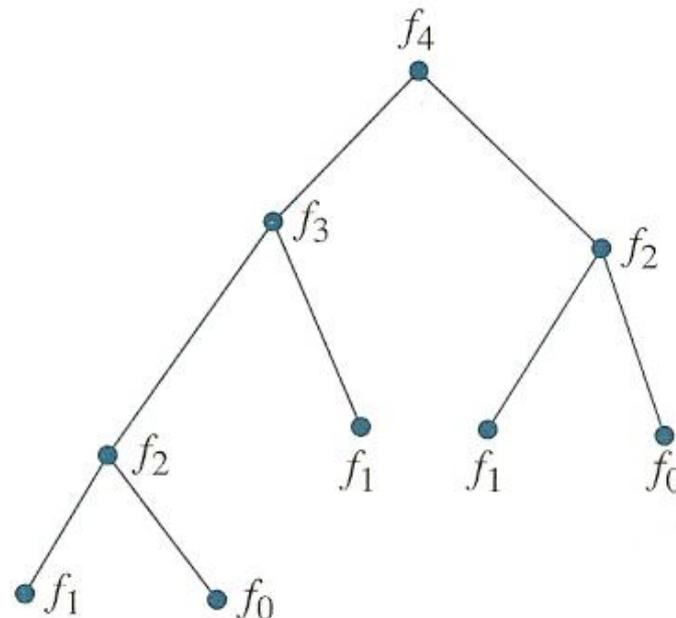
```
procedure factorial(n: positive integer)
if n = 1 then
    factorial(n) := 1
else
    factorial(n) := n · factorial(n - 1)
```

```
procedure iterative_factorial (n: positive integer)
x := 1
for i := 1 to n
    x := i * x
iterative_factorial(n) := x
```

Example 6: Calculation for Fibonacci (1/2)

ALGORITHM 8 A Recursive Algorithm for Fibonacci Numbers.

```
procedure fibonacci( $n$ : nonnegative integer)
if  $n = 0$  then fibonacci(0) := 0
else if  $n = 1$  then fibonacci(1) := 1
else fibonacci( $n$ ) := fibonacci( $n - 1$ ) + fibonacci( $n - 2$ )
```

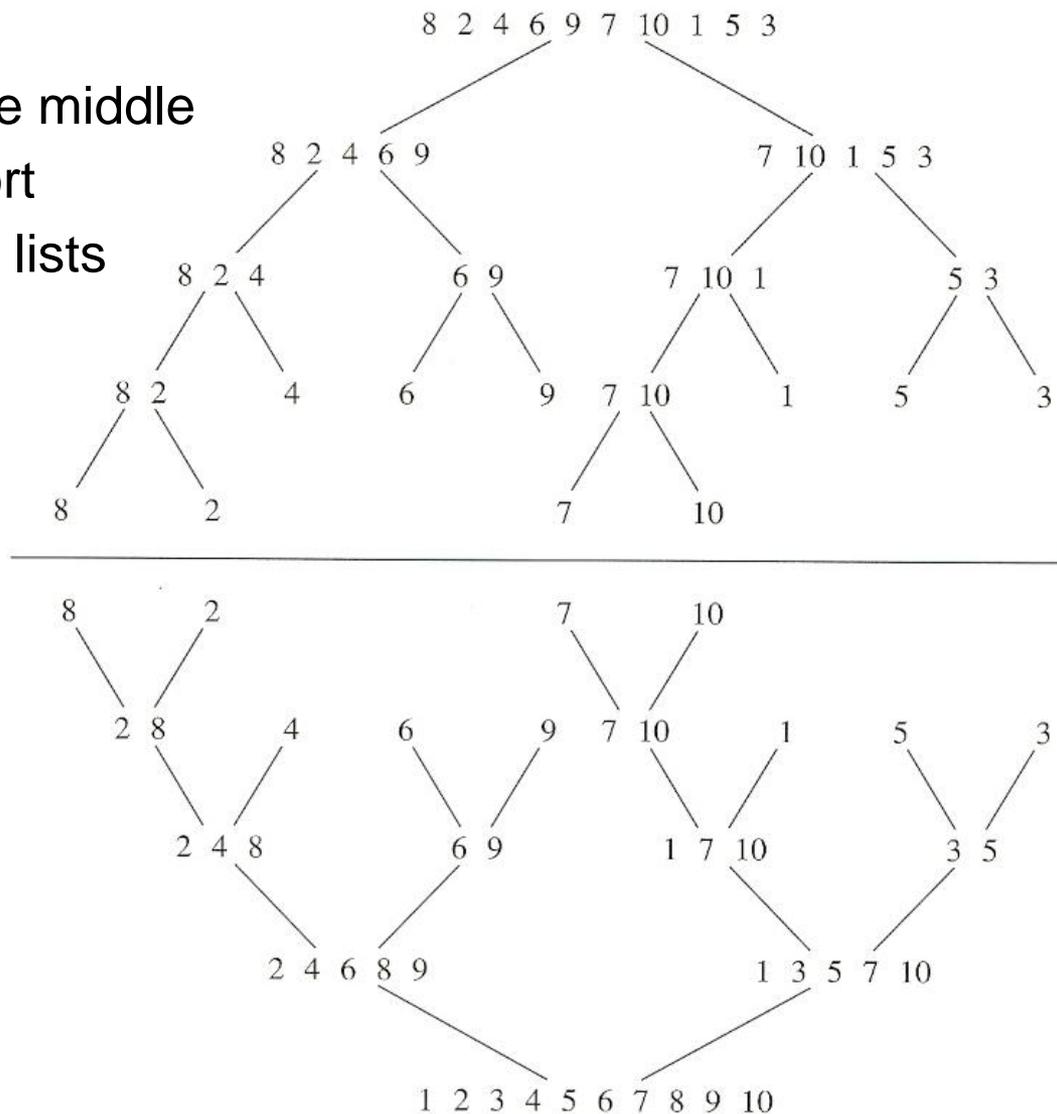


Example 6: Calculation for Fibonacci (2/2)

```
procedure iterative fibonacci( $n$ : nonnegative integer)
if  $n = 0$  then  $y := 0$ 
else
begin
     $x := 0$ 
     $y := 1$ 
    for  $i := 1$  to  $n - 1$ 
    begin
         $z := x + y$ 
         $x := y$ 
         $y := z$ 
    end
end
    { $y$  is the  $n$ th Fibonacci number}
```

Example 7: Merge Sort (1/3)

- **Divide and conquer**
 - ▣ Divide: partition w.r.t. the middle
 - ▣ Conquer: recursively sort
 - ▣ Combine: merge sorted lists
- **Learn more D&C in**
“Data Structures”
or “Algorithms”



Example 7: Merge Sort (2/3)

ALGORITHM 10 A Recursive Merge Sort.

```
procedure mergesort( $L = a_1, \dots, a_n$ )  
if  $n > 1$  then  
     $m := \lfloor n/2 \rfloor$   
     $L_1 := a_1, a_2, \dots, a_m$   
     $L_2 := a_{m+1}, a_{m+2}, \dots, a_n$   
     $L := \text{merge}(\text{mergesort}(L_1), \text{mergesort}(L_2))$   
{ $L$  is now sorted into elements in nondecreasing order}
```

Example 7: Merge Sort (3/3)

ALGORITHM 11 Merging Two Lists.

```

procedure merge( $L_1, L_2$ : lists)
   $L :=$  empty list
  while  $L_1$  and  $L_2$  are both nonempty
  begin
    remove smaller of first element of  $L_1$  and  $L_2$  from the list it is
      in and put it at the left end of  $L$ 
    if removal of this element makes one list empty then remove
      all elements from the other list and append them to  $L$ 
  end { $L$  is the merged list with elements in increasing order}
  
```

2 sorted lists with n_1 and n_2 elements can be merged into a sorted list using no more than $n_1 + n_2 - 1$ comparisons

TABLE 1 Merging the Two Sorted Lists 2, 3, 5, 6 and 1, 4.

<i>First List</i>	<i>Second List</i>	<i>Merged List</i>	<i>Comparison</i>
2 3 5 6	1 4		$1 < 2$
2 3 5 6	4	1	$2 < 4$
3 5 6	4	1 2	$3 < 4$
5 6	4	1 2 3	$4 < 5$
5 6		1 2 3 4	
		1 2 3 4 5 6	

Program Correctness

- A program segment S is said to be **partially correct with respect to the initial assertion p and the final assertion q** , write $p\{S\}q$, if whenever p is true for the input values of S and S terminates, then q is true for the output values of S .

- Remark: The notation $p\{S\}q$ is known as **Hoare triple** after tony Hoare.
 - The inventor of Quicksort

Inference Rules

- With Hoare triples, it is possible to define the **semantics** of programming languages. Here, we give some examples:
- Consider the inference rules:

$$\frac{p\{S_0\}q \quad r\{S_1\}t \quad q \rightarrow r}{p\{S_0; S_1\}t} \quad // \text{ consecutive program segments}$$

- and

$$\frac{(p \wedge c)\{S_0\}q \quad (p \wedge \neg c)\{S_1\}q}{p\{\text{if } c \text{ then } S_0 \text{ else } S_1\}q} \quad // \text{ conditional statement}$$

- In addition to conditionals, we have inference rules for **loops**.

$$\frac{(p \wedge c)\{S\}p}{p\{\text{while } c \text{ do } S \text{ od}\}(\neg c \wedge p)}$$

Example

- **A program segment for Computing $|x|$**
 - ① **if $x < 0$ then**
 - ② $abs := -x$
 - ③ **else**
 - ④ $abs := x$
- **Verify the program segment with respect to the initial assertion \mathbf{T} and final assertion $abs = |x|$.**
- **Pf:**
 - We have $(\mathbf{T} \wedge x < 0) \{abs := -x\} (abs = |x|)$ and $(\mathbf{T} \wedge x \not< 0) \{abs := x\} (abs = |x|)$.
 - By the inference rule, we conclude $abs = |x|$ at the end of the program segment.

Semantics

- In practice, we do not write programs as Hoare triples. However, we can add assertions to help us catch bugs. If you write C (or C++) programs, please try to use **assert()** as often as possible. They can save you a lot of time!
- The subject of understanding the meaning of programs is called **program semantics**. As we have seen in the example, Hoare triples can be used to specify the semantics of programs. We call them **axiomatic semantics**. There are other ways to define the semantics of programs. The semantics of programming languages is yet another interesting field of theoretical computer science.